U.S. DEPARTMENT OF LABOR

Bureau of International Labor Affairs

Notice of Availability of Funds and Funding Opportunity Announcement for Project to Reduce Child Labor in Côte d'Ivoire's Cocoa Growing Areas.

Announcement Type: Initial

Funding Opportunity Number: FOA-ILAB-15-08

Catalog of Federal Domestic Assistance (CFDA) Number: 17.401

Key Dates: The closing date for receipt of applications under this Announcement is August, 27, 2015. Applications must be received no later than 4:00:00 p.m. Eastern Time.

All technical questions related to the content of this Funding Opportunity Announcement (FOA) must be submitted no later than 10 business days prior to the close date of the FOA.

Addresses: Mailed applications must be addressed to the U.S. Department of Labor, Employment and Training Administration, Office of Grants Management, Attention: Elizabeth Whittington, Grant Officer, Reference FOA-ILAB-15-08, 200 Constitution Avenue, NW, Room N4673, Washington, DC 20210. For complete application and submission information, including online application instructions, please refer to Section IV.

Executive Summary:

The Bureau of International Labor Affairs (ILAB), U.S. Department of Labor (DOL, or the Department, or we), announces the availability of approximately \$4,500,000 total costs for one or more cooperative agreements to fund a technical assistance project(s) in Côte d'Ivoire to reduce child labor, through an integrated area-based approach, in cocoa growing areas of Côte d'Ivoire, with a focus on child labor in cocoa production. The project will assist cocoa growing communities to design and implement Community Action Plans (CAPs) to address child labor at the community level. The project will also seek to promote educational opportunities for children, including formal and non-formal education and life skills training for youth under age 18; to support access to school feeding programs; and to improve the safety of children attending and traveling to and from school. The duration of the project will be a maximum of four years (48 months) from the effective date of the award. Eligible Applicants may include any commercial, international, educational, or non-profit organization(s), including any faith-based, community-based, or public international organization(s), capable of successfully fulfilling the objectives identified in the Funding Opportunity Description.

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I. Funding Opportunity Description

A. Program Purpose

ILAB leads DOL's efforts to ensure that workers around the world are treated fairly and are able to share in the benefits of the global economy. ILAB's mission is to advance workers' rights and livelihoods, particularly for the world's most vulnerable workers. ILAB's Office of Child Labor, Forced Labor and Human Trafficking (OCFT) conducts and funds research, develops strategic partnerships, and funds an international technical cooperation program to eliminate the worst forms of child labor, forced labor, and human trafficking.

This FOA solicits applications to implement a project with the goal of reducing child labor, through an integrated area-based approach, in cocoa growing areas of Côte d'Ivoire, with a focus on child labor in cocoa production. The project will assist cocoa growing communities to design and implement Community Action Plans (CAPs) to address child labor at the community level. The project will also seek to promote educational opportunities for children, including formal and non-formal education and life skills training for youth under age 18; to support access to school feeding programs; and to improve the safety of children attending and traveling to and from school.

This announcement is for the award of a new cooperative agreement with the specific project objectives and outcomes outlined in this FOA. As such, Applicants may not submit applications to renew or supplement an existing project.

B. Program Authority

ILAB is authorized to award and administer grants and cooperative agreements by the Consolidated and Further Continuing Appropriations Act, 2015, Pub. Law 113-235 (2014).

C. Project Targets

The project will target cocoa growing communities and, within these communities, children ages 5 to 17 that are engaged in or at high-risk of entering child labor, with a focus on child labor in cocoa production. Within these cocoa growing communities, the project will also target vulnerable households that have one or more children engaged in child labor or at high-risk of entering child labor.

D. Background Information

Côte d'Ivoire is the world's largest producer and exporter of cocoa beans. Two-thirds of Côte d'Ivoire's population is engaged in agricultural activities, with 57 percent of agricultural households in cocoa growing areas producing cocoa in 2008. Research conducted by Tulane University during the 2008-2009 cocoa harvest season estimated that 819,921 children ages 5 to 17 were working in the cocoa sector, with 51 percent of these children reporting injuries from dangerous activities. Working on cocoa farms can be

¹ Central Intelligence Agency. *The World Factbook*, [cited January 10, 2015]; https://www.cia.gov/library/publications/the-world-factbook/geos/iv.html.

² Central Intelligence Agency. *The World Factbook*. See also Tulane University, Payson Center for International Development and Technology Transfer. *Third Annual Report: Oversight of Public and Private Initiatives to Eliminate the Worst Forms of Child Labor in the Cocoa Sector in Côte d'Ivoire and in Ghana*. New Orleans; September 30, 2009. http://childlaborcocoa.org/images/Payson Reports/Third Annual Report.pdf.

³ Tulane University, Payson Center for International Development and Technology Transfer. *Third Annual Report.* In 2015, Tulane University will release its child labor survey research of the 2013-2014 cocoa harvest season. See Tulane University Payson Center for

hazardous, particularly for children, whose physical, mental, and psychological capacities are still developing. Children working in cocoa may work long hours, carry heavy loads, and use dangerous tools. Children may also be involved in spraying cocoa trees with pesticides or burning fields to clear them. Children in Côte d'Ivoire also work in other agricultural sectors, including coffee and livestock herding, as well as in artisanal mining, construction, domestic service, and street vending. Children are involved in child labor in Côte d'Ivoire due to factors that include extreme poverty and a lack of access to quality educational opportunities.

In Côte d'Ivoire, children's rate of participation in schooling is low. The primary school attendance rate is 53.6 percent. Of these children, 61.2 percent complete primary school. Although Côte d'Ivoire has mandated free public education, in practice, students are required to pay fees for teacher salaries and school books. In addition, the decade of crisis weakened the country's capacity to manage local schools, which has had a residual impact on the capacity of the schools to enroll students. Other factors that may affect household decisions about sending children to school include a shortage of trained teachers, low quality of education, risk of abuse in the classroom, and household poverty. In addition, since birth registration is often required for enrollment and primary school exit exams, children without birth certificates—including those born in Côte d'Ivoire and those who have migrated to Côte d'Ivoire—may be prevented from enrolling in or graduating from primary school. Access to education is especially limited for young women and girls. Côte d'Ivoire also does not have a law establishing compulsory education, a factor which may increase the risk of children's involvement in child labor, as children are not required to be in school.

The Government of Côte d'Ivoire has made significant efforts to reduce child labor in the production of cocoa. The Government has laws consistent with international standards to protect children from child labor. However, the laws do not extend to the informal sector. The Government maintains two committees to coordinate efforts to address child labor. The Government has also made increasing access to quality

International Development. 2013/14 Survey Research on Child Labor in West African Cocoa Growing Areas; 2015. http://childlaborcocoa.org/.

⁸ Agence Francaise Development. *AFD is Financing Côte d'Ivoire's Youth, Education, Training, and Integration Program*, http://www.afd.fr/lang/en/home/pays/afrique/geo-afr/Côte-d-ivoire?actuCtnId=105090.

⁴ Ibid.

⁵ Department of Labor. 2013 Findings on the Worst Forms of Child Labor: Côte d'Ivoire; 2014. http://www.dol.gov/ilab/reports/child-labor/Côte divoire.htm.

⁶ Ibid. See also International Labor Rights Forum. *The Fairness Gap: Farmer Incomes and Root Cause Solutions to Ending Child Labor in the Cocoa Industry*; 2015. http://www.laborrights.org/sites/default/files/publications/Fairness%20gap_low_res.pdf.

⁷ UNESCO, Institute for Statistics. 2012. http://data.uis.unesco.org/Index.aspx?DataSetCode=EDULIT_DS. See also UNESCO, Institute for Statistics. *Gross intake ratio to the last grade of primary*. Total. http://www.uis.unesco.org/Pages/default.aspx?SPSLanguage=EN. Data provided is the gross intake ratio to the last grade of primary school. This measure is a proxy measure for primary completion.

⁹ Department of Labor. 2013 Findings on the Worst Forms of Child Labor: Côte d'Ivoire; 2014. http://www.dol.gov/ilab/reports/child-labor/Côte_divoire.htm. See also International Labor Rights Forum. *The Fairness Gap*. See also CONFEMEN. *Rapport PASEC: Côte d'Ivoire*. Évaluation Diagnostique de l'École Primaire.

¹⁰ U.S. Department of State. "Cote d'Ivoire," in *Country Reports on Human Rights Practices- 2013*. Washington, DC; April 2014; http://www.state.gov/documents/organization/220316.pdf. See also ILO. *Renforcement des capacités des Inspecteurs du Travail en matière d'intervention dans le secteur agricole: travail des enfants, santé et sécurité au travail et Système d'Observation et de Suivi du Travail des Enfants dans le secteur du cacao.* Geneva; November 2013. See also Integrated Regional Information Networks. *Cote D'Ivoire: Pupils go back to school, slowly*. November 2, 2011; http://www.irinnews.org/printreport.aspx?reportid=94130. See also United Nations General Assembly. *Human Rights Council, nineteenth session, Report of the independent expert on the situation of human rights in Cote d'Ivoire, Doudou Deine*; January 9, 2012. See also ILO. *The twin challenges of child labour and educational marginalisation in the ECOWAS region*; July 2014. http://www.ilo.org/ipecinfo/product/download.do?type=document&id=25517.

https://www.ilo.org/ipecinfo/product/download.do?type=document&id=25517.

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https://www.ilo.org/ipecinfo/product/download.do?type=document&id

¹² Department of Labor. 2013 Findings on the Worst Forms of Child Labor: Côte d'Ivoire.

¹³ Ibid.

¹⁴ Ibid.

¹⁵ Ibid.

education a priority, increasing school construction and teacher training and collaborating with foreign governments, international organizations, and industry partners to fill educational needs. ¹⁶

In September 2010, the Governments of Côte d'Ivoire and Ghana, DOL, and the International Chocolate and Cocoa Industry (Industry) joined as partners to sign the Declaration of Join Action to Support Implementation of the Harkin-Engel Protocol (Declaration). The Declaration commits the partners to take steps to reduce the worst forms of child labor in the production of cocoa in Côte d'Ivoire and Ghana by 70 percent in aggregate by 2020.¹⁷

Accompanying the Declaration is the Framework of Action to Support the Implementation of the Harkin-Engel Protocol (Framework), which lays out the activities needed to achieve the goals of the Declaration. As part of their commitment to the Declaration, Industry and DOL funded projects to address child labor in the cocoa sectors of Côte d'Ivoire and Ghana. This solicitation is designed to build on the efforts conducted under the Declaration and Framework, as well as to complement other programs that address child labor issues in Côte d'Ivoire as a main focus or as part of a larger initiative.

Multiple actors are engaged in efforts to reduce child labor in the cocoa growing areas of Côte d'Ivoire. To ensure these efforts address Government-identified needs and to promote coordination among actors, the Government requires all projects targeting child labor in Côte d'Ivoire to submit their strategies to the Inter-Ministerial Committee on the Fight against Trafficking, Exploitation, and Child Labor (CIM) and the National Monitoring Committee for Actions to Fight against Trafficking, Exploitation and Child Labor (CNS) for approval. For more information on the worst forms of child labor in Côte d'Ivoire, including Government efforts to address the problem, see the U.S. Department of Labor's 2013 Findings on the Worst Forms of Child Labor Report, available at: http://www.dol.gov/ilab/reports/child-labor/findings/.

II. Award Information

A. Award Type and Amount

Funding will be provided in the form of a cooperative agreement. Approximately \$4,500,000 is expected to be available to fund approximately 1 or more award(s). You may apply for a ceiling amount of up to \$4,500,000 total costs. Awards made under this Announcement are subject to the availability of Federal funds. In the event that additional funds become available, we reserve the right to use such funds to select additional recipients from applications submitted in response to this Announcement.

ILAB's involvement in program activities will focus on elements that are essential to meet program requirements and assure achievement of program objectives. ILAB involvement will include:

- Designating key personnel positions and approving key personnel;
- Collaborating with the recipient in order to refine the project strategy, the baseline survey and follow-up or endline survey methodology, the project performance indicators, and to develop a Comprehensive Monitoring and Evaluation Plan (CMEP);
- Monitoring project implementation through work plans, progress reports, evaluations, attestation engagements, site visits or conference calls with the recipient; and

¹⁶ International Labor Rights Forum. *The Fairness Gap*. See also Child Labor Cocoa Coordinating Group. *CLCCG Annual Report*: 2013. (2014); http://www.dol.gov/ilab/reports/pdf/2013%20CLCCG%20ANNUAL%20REPORT%20032414.pdf.

¹⁷ Senator Tom Harkin, Representative Eliot Engel, Secretary Hilda Solis, Minister Emile Guireroulou, Minister E.T. Mensah, Larry Graham. *Declaration of Joint Action to Support Implementation of the Harkin Engel Protocol*. 2010 http://www.dol.gov/ilab/projects/summaries/GhanaSignedDeclaration.pdf.

¹⁸ Child Labor Cocoa Coordinating Group. *Framework of Action to Support Implementation of the Harkin-Engel Protocol*. 2010; http://www.dol.gov/ilab/projects/summaries/CocoaFrameworkAction.pdf.

¹⁹ Department of Labor. Child Labor in the Production of Cocoa. http://www.dol.gov/ilab/issues/child-labor/cocoa/.

• Approval of deliverables outlined in the cooperative agreement and Management Procedures and Guidelines (MPG).

B. Period of Performance

The period of performance is a maximum of 4 years (48 months) from the effective date of the award. This performance period includes all necessary implementation and start-up activities.

III. Eligibility Information

A. Eligible Applicants

Any commercial, international, educational, or non-profit organization(s), including any faith-based, community-based, or public international organization(s) (PIOs), capable of successfully fulfilling the objectives identified in the Funding Opportunity Description (see Section I) is eligible to apply. ILAB requires that all entities that elect to apply for this opportunity adhere to the specific requirements outlined in this FOA concerning monitoring and evaluation (M&E), audits and counter-terrorism. In negotiating an award with a PIO, ILAB will discuss the inclusion of appropriate language in the agreement acknowledging the rights and privileges as currently established and afforded to PIOs by the U.S. Government in accordance with U.S. law.

The following types of organizations <u>are not</u> eligible to apply nor to participate as subrecipients/contractors:

- Organizations designated by the U.S. Government to be associated with terrorism.
- Organizations designated by the U.S. Government to have been debarred or suspended.
- Organizations planning to charge a fee (profit) associated with a project funded by DOL under this award.

In addition, foreign governments and entities that are agencies of, or operated by or for, a foreign state or government, are not eligible to apply.

B. Cost Sharing or Matching

Cost sharing or matching funds are not required for this program. Applications that include any form of cost sharing or match will not receive additional consideration during the review process. Cost sharing or match is not one of the application screening criteria.

C. Other Information

1. Application Screening Criteria

You should use the checklist below as a guide when preparing your application package to ensure that the application has met all of the screening criteria. Note that this checklist is only an aid for Applicants and should <u>not</u> be included in the application package. We urge you to use this checklist to ensure that your application contains all required items. If your application does not meet all of the screening criteria, it will not move forward to the merit review process.

| Application Requirement | Instructions | Complete? |
|--|----------------|-----------|
| The deadline submission requirements are met | Section IV.B.3 | |

| If submitted through Grants.gov, the | | |
|--|---------------------|--|
| components of the application are saved in any of the specified formats and are not corrupt. (We will attempt to open the document, but will not troubleshoot any problems related to opening files.) | Section IV.B.3 | |
| Application does not exceed the ceiling amount of \$4,500,000 total costs | Section II.A | |
| Applicant has registered with SAM and maintains an active account | Section IV.B.2.a | |
| Completed and signed SF-424, Application for Federal Assistance | Section IV.B.2.c | |
| SF-424A, Budget Information Form | Section IV.B.2.d | |
| Technical Proposal | Section IV.B.1 | |
| Annexes to Technical Proposal Abstract Results Framework Work Plan Past Performance References Evidence of Government Support and Country Presence Project Management Organizational Chart Key Personnel Signed Commitment Letters (3) 1 Page Resumes for Key Personnel (3) 1 Page Resumes for Required Other Professional Personnel (2) Audit Documentation | Section IV.B.1.e | |
| Audit Documentation | | |

2. Number of Applications To Be Submitted

Multiple applications from an organization are not allowed. If multiple applications are received, the most recent application submitted will be accepted. If the most recent application is disqualified for any reason, we will <u>not</u> replace it with an earlier application. Applicant entities are not precluded from participating as partners on another entity's application.

IV. Application and Submission Information

A. How to Obtain an Application Package

This FOA, found at www.Grants.gov, contains all of the information and links to forms needed to apply for funding. Applicants should note that hyperlinks to portions of the FOA are included in various parts of the

announcement. Applicants are required to comply with all parts of the FOA, including those parts found at the hyperlinks.

B. Content and Form of Application Submission

Applications submitted in response to this FOA must consist of two separate and distinct parts: (1) a Technical Proposal, including an abstract, table of contents, and annexes; and (2) a Cost Proposal, including the SF-424 "Application for Federal Assistance" and related forms identified below. It is your responsibility to ensure that the funding amount requested is consistent across all parts of the application. If the funding amount is not consistent, the amount requested on the SF-424 will be considered for the purpose of the award.

1. Technical Proposal

All pages of the application must be numbered. All required documents (including annexes) must be submitted in English. Any additional documentation submitted that is not required or specifically requested under this announcement will not be considered. Technical Proposals must be no more than 50 single-sided, double-spaced pages (8-1/2" x 11" with 1" margins). If any page limits required by this FOA for any part of the application are exceeded, the content that exceeds the page limit will not be considered during the merit review process. Font style must be Times New Roman and font size must be no less than 12-point. The Cover Page, Acronyms List, Abstract, Table of Contents, and required annexes to the Technical Proposal do not count toward the page limit. Different fonts and font sizes may be used for tables, text boxes, and graphics. Please see section IV.B.3 for the file format requirements for electronic submissions.

The following instructions provide all of the information needed to complete the Technical Proposal. Applicants should carefully read and consider each section, and include all required information. The Technical Proposal will be evaluated using the evaluation criteria identified in Section V.A. You must use the same section headers identified below for each section of the Technical Proposal:

a. Abstract (Executive Summary)

You must submit as an annex an abstract summarizing the proposed project, including, but not limited to, the scope of the project and proposed outcomes. The description of the proposed project must include the Applicant's name, project title, a description of the area to be served (if applicable), number of participants to be served (if applicable), the total cost per participant (if applicable), and the funding level requested. The Abstract is limited to two double-spaced single sided 8.5x11 inch pages with 12 point text font, Times New Roman font style, and 1 inch margins. When submitting in grants.gov, this document must be uploaded as an attachment to the application package and specifically labeled "Abstract."

b. Table of Contents

The Table of Contents must list all required documents and include their corresponding page numbers.

c. Project Narrative

The Project Narrative must describe in detail the Applicant's response to the FOA. At minimum, the Project Narrative must contain the following sections:

1) Statement of Need

Applicants should describe, in both quantitative and qualitative terms, the need for assistance, including the nature and scope of the problems, and the consequences of not addressing the need. Include relevant cultural, economic, social, labor and legal factors contributing to the problem. Applicants must identify significant gaps in laws, policies, programs and coordination efforts that contribute to the identified problem and that need to be filled by the project.

2) Goals, Objectives and Expected Outcomes

Applicants must describe the overall goals, objectives, and expected outcomes the project will achieve, including those identified below. Applicants must demonstrate how their proposed strategies described in the Project Design section will fill identified gaps and achieve the identified project objectives and expected outcomes. Applicants may suggest additional objectives and/or outcomes and a rationale for how they contribute to the achievement of the project's overall goals.

The goal of the project is to reduce child labor, through an integrated area-based approach, in cocoa growing areas of Côte d'Ivoire, with a focus on child labor in cocoa production. In support of these goals, the project has three main objectives that should, at a minimum, lead to the outcomes outlined below.

Objective 1: Assist cocoa growing communities in the design and implementation of Community Action Plans (CAPs) to address child labor at the community level. Expected outcomes:

- Communities are able to differentiate between safe and age appropriate work by children and child labor that is hazardous in nature.
- Communities have an increased understanding of hazardous work and occupational safety and health (OSH), with a focus on work in agriculture and the cocoa sector in particular.
- Communities have an increased understanding on how to design and implement a CAP to address all forms of child labor in cocoa growing areas.
- Communities have an increased understanding of how to advocate for a CAP to be included in district-level development plans.

Objective 2: Raise awareness and understanding about the difference between safe and age appropriate work by children and child labor, with a focus on the cocoa sector. Expected outcomes:

- Children, households, and communities are able to differentiate between safe and age appropriate work by children and child labor.
- Children, households, and communities have an increased understanding of hazardous work, with a focus on work in agriculture and the cocoa sector in particular.
- Children, households, and communities have an increased understanding of Côte d'Ivoire's labor laws that are relevant to child labor.

Objective 3: Promote educational opportunities for children engaged in or at high-risk of child labor. Expected outcomes:

- Increased percentage of children attending school or non-formal education programs in target communities.
- Increased percentage of students retained in school or non-formal education programs.
- Increased percentage of students completing school or non-formal education programs.

- Number of youth with life skills (including literacy, numeracy, and soft skills) increased in cocoa growing areas.
- Increased safety of children attending and travelling to and from school.

3) Targets

Applicants must describe the target populations that are to be direct or indirect beneficiaries of the project (see Appendix B for definitions of direct and indirect beneficiaries). Applicants must state the number of beneficiaries to be provided services by the project, and must justify this number, taking into account the project strategy and budget implications. Applicants must provide initial criteria for selection of potential project beneficiaries, if applicable. Applicants must also provide a justification for their selection of a target geographical area(s), as applicable. Applicants must describe how target populations and/or geographic areas reflect the problem to be addressed and the overall strategy being proposed by the Applicant.

Direct Beneficiary Targets. Children receiving direct beneficiary services must be between 5 and 17 years of age at the time the service intervention begins. Applicants must propose strategies to ensure that at least 50 percent of the targeted beneficiaries are female. Applicants must target child beneficiaries who face one of more of the following factors that contribute to making them vulnerable to or engaged in child labor in cocoa growing areas, particularly in the production of cocoa:

- Engaged in hazardous work (or a sibling is);
- Deficient in basic literacy skills;
- School dropouts;
- Head of households;
- In need of assistance to complete educational programs; or
- In need of assistance to gain appropriate skills to secure and retain acceptable work (including youth affected by a disability).²⁰

Applicants must target cocoa growing communities. Final selection of target communities should be determined after the project baseline survey is conducted. Communities with no or few current interventions targeting child labor and communities with a high prevalence of child labor in the production of cocoa should be prioritized. For all objectives, Applicants must describe how they will take steps to avoid duplication of efforts and promote complementarity of efforts or the effective leveraging of project funds, including with existing projects funded by the USG, Industry, and other donors.

4) Project Design

Applicants must propose a strategy to achieve the objectives and outcomes stated above for the project's target population. Applicants must describe all major areas of project intervention. In describing project interventions, Applicants are expected to describe specific activities, including direct service interventions, and how such activities will lead to the project's expected objectives and outcomes. Applicants should identify any potential barriers and describe how the project will be able to overcome those barriers.

²⁰ This could include youth with disabilities or youth who are at risk of child labor as a result of a parent's disability.

DOL has informed host government officials of the proposed award. Applicants must consult with the Government of Côte d'Ivoire to ensure that their proposed strategies are relevant to the country's needs and supportive of the Government of Côte d'Ivoire's efforts to address child labor in cocoa growing areas. Applicants should discuss proposed interventions and activities with host government officials and work with government stakeholders at the national and/or local level, including relevant ministries or government bodies, during the preparation of their applications. The Project Design should demonstrate evidence of this consultation. In addition, Applicants must provide required supporting documentation as discussed in Section IV.B.1.d.2 "Country Presence and Host Government Support."

Applicants must describe in their proposal how gender considerations have been included in the project strategy.

Applicants must present a clear comprehensive strategy to promote sustainability and long-term progress toward addressing child labor at all stages of project design, implementation, and evaluation. Applicants should consider replicating, expanding, or strengthening existing educational services and vocational training programs that have proven sustainable. Applicants must present a strategy to promote the institutionalization of project-developed systems, curricula, and tools within the relevant government, trade union, or employer organizations, including through securing budgetary support.

Where possible, proposed strategies should include collaboration and/or coordination with existing projects in Côte d'Ivoire, including the Government's self-help villages; the Ministry of Labor's child labor monitoring system; the World Bank's Emergency Basic Education Support program; the Ministry of Solidarity, Family, Women and Children's initiatives to combat sexual violence in schools; and Government school feeding programs. Proposed strategies should also complement Industry efforts to reduce child labor in cocoa growing areas.

In July 2015, Tulane University will release its child labor survey research of the 2013-2014 cocoa harvest season. The project must incorporate relevant information from the report into its overall strategy.

The following is a list of objectives and activities that, at a minimum, must be included in Côte d'Ivoire in order to support implementation of the proposed strategy and identified outcomes. Applicants are encouraged to propose additional and complementary outputs and activities as appropriate to their proposed strategies. All outcomes, outputs, and activities will be refined and finalized during the development of the required comprehensive monitoring and evaluation plan.

Objective 1: Assist cocoa growing communities in the design and implementation of Community Action Plans (CAPs) to address child labor at the community level. Applicants must propose strategies to build on existing CAPs from previous DOL and Industry-funded projects. However, at least 60 percent of communities selected for interventions must not have previously-developed CAPs. Applicants are encouraged to provide linkages between experienced communities with existing CAPs and new communities just beginning the CAP development and implementation process. Applicants must propose strategies to develop or strengthen CAP committees and to train CAP committees to develop (phase 1) and implement (phase 2) CAPs to address child labor in cocoa growing areas. Applicants must also propose strategies that include the engagement with and provision of ongoing support to cocoa growing communities and CAP committees for a minimum of 3 years. At a minimum, the following must be included in the Applicant's CAP strategy:

- CAP committees must receive training on (1) the dangers of child labor and good practices for addressing the problem, including by promoting education and training opportunities; (2) hazardous work and OSH, with a focus on agriculture and the cocoa sector; (3) conducting community needs assessments and applying the assessment to the design of their CAP; (4) how to identify and appropriately use resources to implement CAP activities; and (5) how to advocate effectively for the inclusion of CAPs in district plans.
- A community-based and contextualized strategy for each community.
- CAP Development (phase 1): The project must provide adequate technical and financial assistance to support the development of the CAP. CAP committees must be included in the budgeting and purchasing process for CAP activities.
- CAP Implementation (phase 2): At least \$500,000 total direct costs must be allocated by the grantee to support priority areas of action identified by communities in their CAPs. The Applicant must also propose a strategy for securing contributions (e.g. time, building materials for schools, meeting space, equipment etc.) from communities for all CAP activities.
- The CAP committee training must be rooted in best pedagogical practices.

CAP activities must be determined by the CAP committee with guidance from the project. CAP committees must include representation from men, women, and youth under age 18. CAP committees must develop priority areas of action based upon local needs. Activities must serve the end goal of reducing child labor in cocoa growing regions. Examples of potential results of CAP activities could range from increasing access to markets, increasing participation in cooperatives, increasing farming and/or entrepreneurship by providing training and post-training services to adults and youth; or increasing household savings through participation in village saving and loan associations; increasing access to education by building educational infrastructure or developing a community-based mechanism to issue birth certificates; increasing the quality of education by training teachers; and improving the provision of child care through the development of mothers' cooperatives.

Objective 2: Raise awareness and understanding about the difference between safe and age appropriate work by children and child labor, with a focus on the cocoa sector. Applicants must propose a strategic awareness raising campaign focusing on the difference between safe and age appropriate work by children and child labor, with an emphasis on the cocoa sector. The awareness raising campaign must be at the community level, with inclusion of children and households, at a minimum. Appropriate pedagogy and learning materials should be developed to support awareness raising campaigns being received and processed effectively. In order to enhance the effectiveness of delivery of services to reduce child labor, Applicants should seek to begin awareness-raising efforts within the first year of the project or at the earliest possible date prior to delivery of services. At a minimum, the following must be included in the Applicant's strategy:

- Awareness raising campaigns must be based on market research identifying the extent of
 community understanding of child labor, hazardous labor, and occupational safety and
 health, as well as any gaps in understanding; and best practices in communicating
 information in project targeted areas.
- Awareness raising campaigns must promote an understanding of child labor, its impact and potential solutions, including the importance of education to children's long-term welfare and future employability. Specifically, targeted awareness-raising must provide information about specific dangers experienced by those working in the production of cocoa.
- Awareness raising campaigns must include general awareness-raising on Côte d'Ivoire's labor laws, particularly those relevant to child labor.

• Awareness raising campaigns must build upon or complement (and not duplicate) existing awareness-raising campaigns that promote children's rights, including access to education.

Objective 3: Promote educational opportunities for children engaged in or at high-risk of child labor. At a minimum, applicants must incorporate the targeted activities listed below to assist in expanding educational opportunities and increasing student attendance and retention in cocoa growing areas:

- Formal and Non-Formal Educational Opportunities: Children involved in or at high-risk of participating in child labor must be provided with formal or non-formal education services. The project must provide life skills classes to youth ages 14-17 who are involved in or at high-risk of participating in child labor. Life skills curricula may include literacy and numeracy, personal finance, goal setting, safe work, and soft skills, such as conflict management and communication. The project should support the registration of transition courses in non-formal education programs with the Ministry of Education and advocate for staffing of teachers in both formal schools and non-formal education programs.
- <u>Vocational Training</u>: Technical vocational training must be based on researched and identified market demand. Applicants must propose realistic yet innovative ways for youth to take advantage of viable employment opportunities that reflect genuine market needs based on the project's assessment. Training should be competence rather than completion-based and should lead to certification where possible. Training should be provided for a duration that meets quality and certification standards. Where necessary, applicants should include the development of new training curriculum and courses to meet market demand. Where appropriate, a combination of classroom and practical learning experiences should be used.
- School Feeding Programs: Sustainable school feeding programs must be linked to, developed, or improved in project communities with the end goal of increasing student retention and attendance. If school feeding gardens are developed or improved, the activity should include community participation and should serve as a learning tool for best agricultural practices. Applicants are encouraged to collaborate with cooperatives and other community organizations to provide additional support to the school feeding programs.
- School Safety: The project must develop or strengthen the awareness of school management committees (SMCs) on school safety and how it impacts child labor. Applicants may consider using a model similar to the UN's Safe Schools model.²¹ Applicants must propose strategies to help reduce abuse of students in school through training of teachers, school administrators, students, parents, and local and district enforcement authorities and through strategies to develop mechanisms to report and deal with school abuse complaints. There should also be a focus on the safety of children travelling to and from school due to various risks, including abduction.
- <u>Pilot Program to reach children in encampments</u>: Applicants must propose a strategy(ies) to provide children in remote areas, such as encampments, with educational opportunities, at least as a pilot activity.

5) CMEP Agreement and M&E Capacity Statement

The Comprehensive Monitoring and Evaluation Plan (CMEP) is a tool to integrate and guide the project's monitoring, evaluating, and reporting on project progress toward achieving intended results and outcomes. It is also intended to serve as a management tool and facilitate managing for results. Applicants must confirm in their proposal their commitment to collaborate with DOL-funded External M&E Experts and DOL in developing the project's CMEP. Applicants

²¹ For information on the UN Safe Schools Model, see A World at School. *The Safe Schools Initiative: Protecting the Right to Learn in Nigeria*. May 7, 2014. http://b.3cdn.net/awas/895b9736d25c7e4823_wvm6b3w6i.pdf.

must also describe their commitment to M&E and their capacity and approach to deliver the M&E requirements described in this FOA. These requirements include baseline and follow-up surveys (for further information on survey requirements, please see the sample MPG), the CMEP, development and implementation of a Direct Beneficiary Monitoring System (DBMS), collaboration on externally conducted midterm and final evaluations, and performance reporting. Applicants may use their own staff, a partner organization, or a sub-contractor to carry out these activities (or components of these activities), except for baseline and follow-up surveys, which must use a sub-contractor (projects may not use their own staff). Applicants must describe in the capacity statement how they will carry out these activities and ensure high quality data and deliverables. Applicants also should briefly address how they will safeguard all project data, particularly any personal data on beneficiaries.

The M&E capacity statement also must include the Applicant's strategy and methodology for monitoring the project's direct beneficiaries, including the education and work status of child beneficiaries. Applicants must provide details on the components of their proposed DBMS, including at a minimum, systems/tools for collecting and storing data, sources of data, and staff responsible for monitoring and data quality control. The DBMS monitors provision of educational and livelihood services provided to direct beneficiaries and monitors children's education and work status. All direct beneficiaries must be monitored at 6-month intervals (at a minimum) from the time they enter the program through the end of the project. Applicants must develop indicators to allow them to monitor the education and work status of each beneficiary child at 6-month intervals. Applicants should note that their beneficiary monitoring strategy and budget should account for a census of work status at 6-month intervals for all child beneficiaries to date at that point in the project, including logistics, travel, and staffing needed to accomplish this. Applicants must also develop monitoring guidelines for all project partners responsible for providing direct services to children and household members and validate monitoring information. In addition, see Appendix E for more information on ILAB/OCFT's common indicators, on which applicants also will be required to report.

6) Results Framework

The Results Framework must include the project goal(s), objectives, outcomes, and critical assumptions that may influence the project. The Results Framework must be no longer than two pages and be included as an annex. The Results Framework will serve as an input into the CMEP or Performance Monitoring Plan, as applicable, and will be refined and finalized during that process.

7) Work Plan

The Work Plan must identify major project activities, including M&E activities, deadlines for completing these activities, and person(s) or institution(s) responsible for completing these activities for the entire life of the project. The Work Plan must be included as an annex and correspond to activities identified in the Results Framework and the Project Design. Applicants may choose an appropriate format for their Work Plan.

d. Organizational Capacity

This section must describe the qualifications of the proposed Applicant and/or any proposed subrecipient to implement the project.

1) International and US Government Grant and/or Contract Experience

Applicants must describe in the Past Performance annex any experience they have with implementing projects relevant to this FOA and provide references for past performance. No more than a total of six (6) references/projects for the primary Applicant and proposed subrecipient combined should be provided (see Appendices for a sample format). References must be included for the Applicants and proposed subrecipients providing services related to project interventions (see Section IV.B.1.c.4)), and Applicants should prioritize references for the primary Applicant(s) and their primary subrecipients. Projects included in the Past Performance Table must have been active within seven years of the issuance date of the FOA.

2) Country Presence and Host Government Support

Applicants must describe their organization's (or partner organizations') existing presence and ability to start up project activities in the target area(s) upon receiving an award. Applicants should also discuss their ability to work directly with relevant government agencies and NGOs, including local organizations and community based organizations, and their past experience working with these stakeholders. Applicants must submit supporting documentation, which demonstrates country presence and outreach to the host government (including the Ministry of Labor and any ministries from which the host government requires approval to implement activities related to this announcement).

Applicants must also collaborate with the relevant government agencies in each country, including at a minimum the Cabinet of the First Lady of Côte d'Ivoire; the Ministry of Labor, Office to Combat the Worst Forms of Child Labor (*Direction de la Lutte Contre le Travail des Enfants* (DLTE)); the Ministry of Education, Autonomous Service for Literacy and Non-Formal Education (*Service Autonome de l'Alphabétisation et de l'éducation non formelle* (SAAENF)); the Ministry of Solidarity, Family, Women and Children, including it's initiative to combat sexual violence in schools; and prefect and community level leaders and government structures.

Any documents that demonstrate country presence and corroborate host government support may be included as an annex to the Technical Proposal. Documentation may include official registration of the Applicant's organization in the host country, a current Memorandum of Understanding between the Applicant and the host government, and letters of support for the proposed project from the national and/or local governments.

This project is intended to address child labor in Côte d'Ivoire. The FOA states that this work is to be performed in Côte d'Ivoire; however, if ILAB and the recipient(s) determine that performance in Côte d'Ivoire has become impossible as a result of withdrawal of host country government support for the project, ILAB and the recipient(s) may confer to determine whether it is desirable and feasible to implement the project in a different country in Sub-Saharan Africa. This determination will necessitate findings that: 1) based upon available research, the project, as it is described in this document, is warranted to address child labor and youth employment in the newly identified country; 2) ILAB and the recipient(s) can obtain support from the new proposed host country government to implement the proposed project; and 3) the recipient(s) has the capacity to implement the project in the proposed country within the legally permissible timeframe for implementation of the project. If ILAB and the recipient(s) are unable to make these findings and mutually agree on a modification to the award(s) to implement performance in another country in Sub-Saharan Africa, either ILAB or the recipient(s) may seek to have the award suspended or terminated pursuant to the applicable termination clauses.

3) Partners

Applicants must describe their approach for working with other organizations in the target country to advance the objectives of the project. Applicants may demonstrate this approach in their proposal by describing established partnerships, including with local organizations that have capacity and experience working on issues related to the objectives of the project. Applicants that propose such project implementation partners must include a brief description of such partners, including a description of the project partners' role in implementing the proposed project strategy and how this approach will strengthen the overall proposal.

Applicants without such established partnerships must propose an approach that they will pursue for working with other organizations, which could include formal partnerships or informal collaborations, to advance the objectives of the project. Applicants must describe how this approach will strengthen the overall proposal.

Applicants should take into consideration past and ongoing efforts to reduce child labor in Côte d'Ivoire, including efforts supported by the following:

- Industry projects working to combat child labor in the cocoa growing areas of Côte d'Ivoire, including those funded by Mars, Barry Callebaut, Nestle, and the forthcoming World Cocoa Foundation *CocoaAction* Program;
- The International Labor Organization's International Program on the Elimination of Child Labor (ILO-IPEC);
- UNICEF; and/or
- The World Bank's Emergency Basic Education Support Project and Emergency Youth Employment and Skills Development Project.

4) Management Plan

Applicants must discuss their project's management plan, including a narrative description of the structure of the project's management team, key personnel roles and responsibilities, and the lines of authority between key personnel and other project staff responsible for providing services related to project intervention. If other professional personnel are proposed, their role should be explained in the management plan. If any of the project's personnel would be employed by a subrecipient, the Applicant must provide a rationale for this arrangement and an explanation of the staffing structure.

Applicants must also include as an annex a project management organization chart that provides a visual depiction of the project's management structure and lines of authority among all key personnel, other professional personnel, and other project staff being proposed. Applicants may choose an appropriate format for their project management organization chart.

5) Personnel

Key Personnel

Key personnel positions are deemed essential to the successful operation of the project and completion of all proposed activities and deliverables. ILAB retains the authority to approve all key personnel changes throughout the life of the award. Key personnel must allocate 100

percent of their time to the project. Applicants must ensure that all proposed key personnel will be available to staff the project within 45 days of award should the Applicant be selected for award.

Applicants must propose candidates with qualifications to successfully implement the proposed strategy. Applicants must address candidates' level of competence, past experience relevant to this announcement and qualifications to execute the project strategies proposed by the Applicant. ILAB encourages Applicants to hire national/local staff for key personnel positions.

Recipients assume full responsibility for ensuring that all key personnel have a clear and thorough understanding of DOL policies, procedures, and requirements and that all documents submitted to DOL are in fluent English.

ILAB has designated the following position(s) as key personnel. Requirements for each individual position follow:

Project Director

- Minimum of five years of experience in project management, supervision, administration, and implementation of cooperative agreement and/or contract requirements (including meeting deadlines, achieving targets, and overseeing the preparation and submission of required reports).
- Must be employed by the Grantee (not subgrantees/subcontractors).
- Establishes and maintains systems for project operations.
- Maintains working relationships with all project stakeholders, and engages in coalition building and public-private partnerships promotion.
- Experience in a leadership role in implementing development projects relevant to this solicitation.
- Fluency in English and French required.

M&E Officer

- Minimum of five years professional experience in a senior M&E position responsible for implementing M&E activities of international development projects.
- Bachelors or Master's degree in statistics, demographics, public policy, international development, economics, or related field. Master's degree or Bachelor plus an advanced certificate in M&E, statistics, or economics preferred.
- Proven success in designing, implementing, and operating project M&E systems from project initiation to closeout stages.
- Experience designing and managing beneficiary monitoring and database systems.
- Experience in strategic planning and performance measurement, including indicator selection, target setting, reporting, database management, and developing M&E and performance monitoring plans.
- Knowledge of the major evaluation methodologies (e.g., qualitative, quantitative, mixed-method, and impact) and data collection and analysis methodologies.
- Experience in planning and managing surveys.
- Experience developing and refining data collection tools.
- Experience with data quality assessments and oversight.
- Experience managing and providing ongoing training to M&E field officers.
- Ability to facilitate and serve as a project liaison for externally-managed evaluations.
- Fluency in English and French required.

Education Specialist

- Minimum of three years of experience in a leadership position responsible for developing formal and non-formal education interventions, including life skills training for youth, and the technical aspects related to the Applicant's proposed strategy, including student assessment, teacher training, educational materials/curriculum development, educational management, educational monitoring and information systems, and labor market analysis.
- Understanding of child labor and of the special educational needs of children removed from hazardous working conditions when they enter/return to school or are provided with alternative education services.
- Experience working successfully with the Ministry of Education and other relevant Government agencies.
- Fluency in French required. Knowledge of English is preferred.

Applicants must include as an annex signed letters of commitment from all proposed key personnel (as identified in this FOA) indicating their commitment to serve on the project for a stated term of service and their availability to commence work within 45 days of award.

Applicants must also submit as an annex a one-page résumé or *curriculum vitae* (CV) for each individual being proposed for each position designated as key personnel in this FOA. Applicants may submit résumés for other professional personnel being proposed in the application. Each résumé must include:

- Educational background, including highest education level attained;
- Work experience covering at least the last five years of employment to the present, including such information as employer name, position title, clearly defined duties, and dates of employment;
- Special experience, capabilities, or qualifications related to the candidate's ability to implement the proposed strategy and perform effectively in the proposed position; and
- English and other relevant language skills, if required (includes speaking, listening, reading, writing).

Other Professional Personnel

The positions listed below are required additional professional project personnel who must allocate 100 percent of their time to the project (but are not considered key personnel). Applicants must identify these other professional personnel and submit resumes to USDOL.

Community Action Plan Coordinator

- Minimum of three years of experience in a leadership position working in the context of community action plans or community organization.
- Experience designing and implementing community development projects to combat poverty, including projects relating to rural development, livelihood promotion, and increased agricultural production.
- Experience designing development solutions for child protection.
- Understanding of youth unemployment and child labor in the context of agriculture.
- Experience working with local and district governments, civil society organizations, employer associations, unions, cooperatives, and communities in Sub-Saharan Africa.
- Proficiency in French and a local language is preferred.

Training and Awareness Raising Specialist

- Minimum of three years of experience in a leadership position responsible for developing and implementing trainings and awareness raising activities in Sub-Saharan Africa.
- Experience using pedagogical principals, such as Friere, to increase knowledge and praxis at the community level.
- Responsible for designing CAP committee trainings and community, district, and regional awareness raising campaigns.
- Understanding of child labor and children in need.
- Experience designing curriculum and awareness raising materials based on assessed knowledge gaps.
- Proficiency in French and a local language preferred.

Applicants may propose other professional personnel (other than key personnel) in their application. Applicants should hire national/local staff knowledgeable in the areas of intervention who will be responsible for implementing project activities. Applicants may submit résumés to DOL for other professional personnel. There is no required time allocation for other professional personnel (except for required additional professional project personnel listed above).

6) Audit Reports

Applicants must include as an annex a copy of the opinion letter(s) and a summary of audit findings for the Applicant and all subrecipients providing services related to project interventions. The Applicant must include a cover sheet for its audit attachments. The following audit attachments must be provided, in English, for all Applicants and proposed subrecipients:

- Summary of audit findings of most recent audit;
- Opinion letter of most recent audit;
- Demonstration of compliance with audit submission timeframes, if applicable;
- Summary of corrective actions, if applicable (including for organizations subject to the Single Audit Act); and
- Upon request, Applicants will be required to submit full audit reports and/or official translations of audit reports.

If this not applicable and a proposed applicant and/or subrecipient has not had an audit, the Applicant must include a letter in the Audit Documentation Annex explaining why.

e. Attachments and Annexes

The following documents must be included with the application package and the failure to do so will cause the application package to be screened out. Those annexes listed below will be excluded from the technical proposal page limit.

- Abstract
- Results Framework
- Work Plan
- Past Performance References
- Evidence of Government Support and Country Presence
- Project Management Organizational Chart

- Key Personnel Signed Commitment Letters
- 1 Page Resumes for Key Personnel
- 1 Page Resumes for Required Other Professional Personnel
- Audit Documentation

2. Cost Proposal

Applicants must prepare a cost proposal as part of the application. The cost proposal must reflect consistency between the proposed costs and the work to be performed as outlined in the Project Narrative of the Applicant's technical proposal.

As part of the process of developing a cost proposal, the Applicant must have a DUNS number and be registered in SAM. In addition, the cost proposal must contain the following:

- SF-424 Key Contacts Form;
- SF-424 Application for Federal Assistance;
- SF-424A Budget Information;
- Detailed outputs-based budget and an accompanying budget narrative; and
- Indirect cost supporting documentation.

If an Applicant proposes cost sharing, cost sharing information must be included as part of the cost proposal. Cost sharing is not required for this program. Applications that include any form of cost sharing will not receive additional consideration during the review process. Any approved cost sharing will be subject to the same compliance and reporting requirements as any awarded federal funds.

a. Data Universal Numbering System (DUNS) Number and System for Award Management (SAM) Registration

All Applicants for Federal funding opportunities are required to have a DUNS number and must supply their DUNS Number on the SF-424. The DUNS Number is a nine-digit identification number that uniquely identifies business entities. If you do not have a DUNS Number, you can get one for free through the Dun & Bradstreet (D&B) website:

http://fedgov.dnb.com/webform/displayHomePage.do. As authorized under 2 CFR 25, recipients authorized to make subawards must be aware of the following requirements related to DUNS Numbers:

- Recipients must notify potential subrecipients that no entity may receive a subaward from you unless the entity has provided its DUNS number to you.
- Recipients may not make a subaward to an entity unless the entity has provided its DUNS number to you.

Applicants must register with the SAM before submitting an application. Instructions for registering with SAM can be found at https://www.sam.gov/portal/public/SAM/#1. A recipient must maintain an active SAM registration with current information at all times during which it has an active Federal award or an application under consideration. To remain registered in the SAM database after the initial registration, the Applicant is required to review and update the registration at least every 12 months from the date of initial registration or subsequently update its information in the SAM database to ensure it is current, accurate, and complete. For purposes of this paragraph, the Applicant is the entity that meets the eligibility criteria and has the legal authority to apply and to receive the award.

b. SF-424 Key Contacts Form

This form must include name, position title, address, telephone and fax numbers, e-mail address, and other relevant information for the Applicant's designated key contact person.

c. SF-424, Application for Federal Assistance

You must complete the SF-424, Application for Federal Assistance (available at http://apply07.grants.gov/apply/FormLinks?family=15). The SF-424 must clearly identify the Applicant and must be signed by an individual with authority to enter into an award agreement. Upon confirmation of an award, the individual signing the SF-424 on behalf of the Applicant is considered the Authorized Representative of the Applicant. As stated in block 21 of the SF-424 form, signature of the Authorized Representative on the SF-424 certifies that the organization is in compliance with the Assurances and Certifications form SF-424B (available at http://apply07.grants.gov/apply/FormLinks?family=15). The SF-424B is not required to be submitted with the application.

d. SF-424A Budget Information (Non Construction Programs)

You must complete the SF-424A Budget Information Form (available at http://apply07.grants.gov/apply/FormLinks?family=15).

e. Outputs-Based Budget and Accompanying Budget Narrative

The Cost Proposal must include a summary outputs-based budget, along with specific outputs-based budgets for the Applicant and each proposed subrecipient. The outputs-based budget must correspond to the SF-424 and SF-424A. Where category titles differ between the two, the information included should reflect a consistent proposed use of funds. The outputs-based budget (including DOL funds and any cost sharing funds reported on the SF-424 and SF-424A) must comply with Federal cost principles. Allowable costs include those specifically defined in 2 CFR Part 200. If selected for funding, the budget will become part of the award, and any costs omitted by the Applicant may not be allowed after award. Applicants may not rely on other contracts, grants, or awards to implement the Applicant's proposed strategy. The budget submitted with the application must include all necessary funds to implement the proposed project strategy. ILAB will not provide any additional funding to cover unanticipated costs.

The detailed outputs-based budget must present costs in a manner that is linked to objectives, activities, and outputs reflected in the Project Design, Work Plan, and Results Framework and demonstrate cost-effective allocation of project funds. In addition, it must provide a breakdown of total administrative costs into direct and indirect administrative costs and allocate the largest proportion of project resources to project activities rather than to direct and indirect administrative costs.²² For a sample outputs-based budget, please see http://www.dol.gov/ilab/grants/SGAguidelines.htm.

The cost proposal must also include a budget narrative that corresponds to the outputs-based budget. The budget narrative must include a detailed justification, broken down by line item, of all of the Applicant's costs included in the outputs-based budget. The budget narrative should be detailed enough so ILAB can understand how each cost is calculated and how each cost directly relates to the proposed project activity.

²² The Grant Officer reserves the right to negotiate project and administrative cost levels before award.

As part of the budget narrative, Applicants must include a description of their organization's financial systems and supporting documentation to demonstrate the organization's ability to effectively manage the requested funds and adhere to relevant USG regulations.

Applicants must use the following guidance in preparing their outputs-based budget:

1) Travel

Applicants must allocate sufficient funds to finance appropriate in-country and international travel. At a minimum, Applicants must allocate funds for:

- Travel by the Project Director and/or another key personnel staff member to Washington, D.C. to attend a post-award meeting (New Recipient Orientation); and
- Travel by the Project Director and/or other key personnel based in the field to meet annually with ILAB officials in Washington, D.C. or another site determined by ILAB.

2) Project M&E

Applicants must allocate funds to cover the costs associated with project M&E activities. Projects must set aside at least 8 percent of the project budget's total direct costs to cover the costs associated with project M&E activities. This 8 percent must be included as its own line item/ category within the outputs-based budget. Allocations associated with M&E must include:

- The development and implementation of a DBMS.
- Regular collection and processing of monitoring data for project beneficiaries at the child and
 the household level, including any necessary travel and staff to monitor the work status of
 each beneficiary. Data collection and monitoring costs also should reflect the planned
 strategy for beneficiary monitoring, and account for the frequency of monitoring, logistics,
 and the total number of estimated beneficiaries who will need to be monitored every six
 months over the life of the project.
- The development of monitoring guidelines (in multiple languages, as appropriate) for all
 project partners responsible for providing direct services to children and members of their
 households.
- The development and implementation of a system and process for validating monitoring information.
- Support to the CMEP process including project staff in-country travel to two CMEP workshops, costs of hosting workshops (meeting rooms, etc.), training partners, and any other logistical/administrative costs (please see Section VI. Award Administration Information).
- Meeting reporting requirements as discussed in the FOA.
- At least \$70,000 total direct costs to support the external interim and final implementation evaluations. Resources permitting, ILAB will directly contract the external evaluators to design and implement the evaluations. However, the project will be responsible for certain support costs for each evaluation such as translation of the evaluation report from English into the local language, providing ground transportation for the external evaluator, interpretation for the external evaluator, hosting an evaluation stakeholders meeting, and incountry transportation and accommodation costs for staff and other stakeholders' participation in the meeting.
- Conducting a baseline survey, a follow-up survey, and, if relevant, a needs assessment on school conditions.

Note:

Costs associated with M&E personnel must be included under the personnel line item and not be included in this budget section.

Costs associated with any required additional research or special studies, as may be required by the FOA or proposed by the project, should not be included in this M&E budget, and must be budgeted for separately.

This budget guidance establishes a minimum amount of funds that must be set aside for M&E activities; the Applicant may propose additional funds as needed, based on cost estimates for required activities. In particular, Applicants are encouraged to conduct a cost analysis for baseline and follow-up surveys and budget for these surveys appropriately, as well as a cost analysis for beneficiary monitoring that accounts for logistics, travel and staffing needed to monitor the total number of beneficiaries over the life of the project every six months.

3) Audits / Attestation Engagements

Include costs for audits as direct or indirect costs, whichever is appropriate, in accordance with your entity's allowable cost allocation procedures. Attestation engagements are conducted at DOL's expense to supplement the coverage provided by audits. There should be no costs included in the budget for attestation engagements.

4) Allowance for Unforeseen Costs

Applicants must include five percent of the project's total direct costs to address unforeseen circumstances beyond the recipient's control that affect specific budget lines related to:

- Inflation affecting specific project costs;
- United Nations System or foreign government-mandated salary scale or benefits revisions; and
- Exchange rate fluctuations.

DOL also recognizes that certain unforeseen circumstances may arise and result in a need for exceptions to these uses of Allowance for Unforeseen Costs funds and a need for budget modifications or time extensions. These include (1) changes in a country's security environment; (2) natural disasters; (3) civil or political unrest/upheavals or government transitions; or (4) delays related to loss of or damage to project property. Use of these funds must be approved by the Grant Officer. The MPG gives guidelines for requesting approval of a budget modification to re-allocate funds under the Allowance for Unforeseen Costs budget line, as well as guidance on the timeline by which such re-allocations should be completed.

5) Value Added Tax (VAT)

VAT Foreign taxes charged for the purchase of goods or services that a non-Federal entity is legally required to pay in country is an allowable expense under Federal awards. The recipients and subrecipients shall make every effort to apply for and receive VAT exemption in the country or countries in which the project operates. 2 CFR 200.470(c).

The recipient will report on the progress of its application for VAT exemption in its Technical Progress Reports. See the MPG for further guidance on VAT exemptions.

6) Housing

If included in the budget, provide in the budget narrative a justification for any proposed housing costs, housing allowances, and/or personal living expenses. In accordance with federal cost principles, personnel housing and personal living expenses are only allowable as direct costs in the project budget. DOL funds may only be used to pay for housing costs, housing allowances, and personal living expenses (e.g., dependents' allowance) of project staff if they (1) are separately accounted for as direct costs of the project necessary for the performance of the project and (2) receive prior approval from DOL. Applicants must provide a brief explanation as to why such costs are considered necessary for the performance of the project, consistent with the organization's established policies, and reasonable for the country where the staff person will reside.

7) Indirect Costs

Applicants may request indirect costs according to Federal regulations. Indirect costs are those that have been incurred for common or joint objectives and cannot be readily identified with a particular final cost objective. Indirect cost charges must be based on allowable, allocable, and reasonable costs based on the applicable cost principles.

8) CAPs

Applicants must allocate at least \$500,000 total direct costs of DOL funds to support priority areas of action identified by communities in their CAPs.

f. Indirect Cost Supporting Documentation

The following supporting documentation is required:

- For organizations with a current negotiated indirect cost rate agreement (NICRA) approved by the Federally Cognizant Agency (FCA): Please provide a copy of the NICRA in the proposal.
- For organizations with an expired rate or a rate not previously approved by the FCA: Please provide a proposed indirect cost rate and a justification for the proposed rate.
- For organizations with no budgeted/claimed indirect costs: Please provide a Certificate of Direct Costs.
- For organizations that have never received a negotiated indirect cost rate, with exceptions noted in 2 CFR 200.414(f), and wish to request a de minimis indirect cost rate of 10% of modified total direct costs (MTDC): Please provide a description of how the rate will be implemented and confirm compliance with relevant cost principles. If approved, the de minimus rate must be used consistently for all Federal awards and may be used indefinitely or until the organization negotiates a rate.

3. Submission Date, Times, and Process

a. Submission Date and Times

The closing date for receipt of applications under this Announcement is August, 27, 2015. Applications must be submitted either electronically on http://www.grants.gov or in hard copy by mail or in hard copy by hand delivery (including overnight delivery). Hard copy applications must be received at the address below no later than 4:00:00 p.m. Eastern Time on the closing date. Applications submitted on grants.gov must also be successfully submitted (as described below) no later than 4:00:00 p.m. Eastern Time on the closing date. You are cautioned that applications should be submitted before the deadline to ensure that the risk of late receipt of the application is minimized. Applications sent by e-mail, telegram, or facsimile (FAX) will not be accepted.

b. Hard Copy Submissions

Applicants submitting applications in hard copy by mail or overnight delivery must submit an original signed application (including the SF-424) and one (1) "copy-ready" version free of bindings, staples or protruding tabs to facilitate the reproduction of the application by DOL. Applicants submitting applications in hard copy are also required to include in the hard copy submission an identical electronic copy of the application on compact disc (CD). If discrepancies between the hard copy submission and CD copy are identified, the application on the CD will be considered the official Applicant submission for evaluation purposes. Failure to provide identical applications in hard copy and CD format may have an impact on the overall evaluation.

If an application is submitted by both hard copy and through http://www.grants.gov, a letter must accompany the hard-copy application stating which application to review. If no letter accompanies the hard copy, we will review the copy submitted through http://www.grants.gov.

No exceptions to the mailing and delivery requirements set forth in this notice will be granted. Further, documents submitted separately from the application, before or after the deadline, will not be accepted as part of the application.

Mailed applications must be addressed to:

U.S. Department of Labor Employment and Training Administration, Office of Grants Management Attention: Elizabeth Whittington, Grant Officer Reference FOA-ILAB-15-08 200 Constitution Avenue, NW, Room N4673 Washington, DC 20210.

Mail delivery in the Washington DC area may be delayed due to mail decontamination procedures.

Hand-delivered applications will be received at the above address. All overnight delivery submissions will be considered to be hand-delivered and must be received at the designated place by the specified closing date and time.

Any hard copy application received after the exact date and time specified for receipt at the office designated in this notice will not be considered, unless it is received before awards are made, it was properly addressed, and it was: (a) sent by U.S. Postal Service mail, postmarked not later than the fifth calendar day before the date specified for receipt of applications (e.g., an application required to

be received by the 20th of the month must be postmarked by the 15th of that month); or (b) sent by professional overnight delivery service to the addressee not later than one working day before the date specified for receipt of applications. "Postmarked" means a printed, stamped or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable, without further action, as having been supplied or affixed on the date of mailing by an employee of the U.S. Postal Service. Therefore, Applicants should request the postal clerk to place a legible hand cancellation "bull's eye" postmark on both the receipt and the package. Failure to adhere to these instructions will be a basis for a determination that the application was not filed in a timely manner and will not be considered. Evidence of timely submission by a professional overnight delivery service must be demonstrated by equally reliable evidence created by the delivery service provider indicating the time and place of receipt.

c. Electronic Submissions

Register

Applicants are strongly encouraged to immediately initiate and complete the registration steps at http://www.grants.gov/web/grants/applicants/organization-registration.html. Applicants should read through the registration process carefully before registering. These steps may take four weeks or more to complete, and this time should be factored into plans for timely electronic submission in order to avoid unexpected delays that could result in the rejection of an application. The site also contains registration checklists to help Applicants walk through the process. Applicants are strongly encouraged to download the "Organization Registration Checklist" at http://www.grants.gov/web/grants/applicants/applicant-tools-and-tips.html and prepare the information requested before beginning the registration process.

Create a User Profile

The next step is to create a username and password with Grants.gov to become an Authorized Organizational Representative (AOR). AORs will need to know their organization's DUNS Number. (As described earlier in Section IV.B.2.a, Applicants must have a DUNS Number and be registered with SAM before submitting an application.) To read more detailed instructions for creating a profile on Grants.gov visit: http://www.grants.gov/web/grants/applicants/organization-registration/step-3-username-password.html

AOR Authorization

After creating a profile on Grants.gov, the E-Biz point of Contact (E-Biz POC) - a representative from your organization who is the contact listed for SAM – will receive an email prompting them to grant the AOR permission to submit applications on behalf of their organization. The E-Biz POC will then need to log in to Grants.gov and approve an individual as the AOR, thereby giving him or her permission to submit applications. To learn more about AOR Authorization visit: http://www.grants.gov/web/grants/applicants/organization-registration/step-4-aor-authorization.html, or to track AOR status visit: http://www.grants.gov/web/grants/applicants/organization-registration/step-5-track-aor-status.html

An application submitted through Grants.gov constitutes a submission as an electronically signed application. The registration and account creation with Grants.gov, with E-Biz POC approval, establishes an AOR. When an application is submitted through Grants.gov, the name of the AOR on file will be inserted into the signature line of the application. <u>Applicants must register the individual who is able to make legally binding commitments for the organization as the AOR; this step is often missed and it is crucial for valid submissions.</u>

When a registered Applicant submits an application with Grants.gov, an electronic time stamp is generated within the system when the application is successfully received by Grants.gov. Within two business days of application submission, Grants.gov will send the Applicant two email messages to provide the status of the application's progress through the system. The first email, sent almost immediately, will contain a tracking number and will confirm receipt of the application by Grants.gov. The second email will indicate the application has either been successfully validated or has been rejected due to errors. Grants.gov will reject applications if the Applicant's registration in SAM is expired.

Only applications that have been successfully submitted by the deadline and later successfully validated will be considered. It is the Applicant's sole responsibility to ensure a timely submission. While it is not required that an application be successfully validated before the deadline for submission, it is prudent to reserve time before the deadline in case it is necessary to resubmit an application that has not been successfully validated. Therefore, enough time should be allotted for submission (two business days) and, if applicable, additional time to address errors and receive validation upon resubmission (an additional two business days for each ensuing submission). It is important to note that if enough time is not allotted and a rejection notice is received after the due date and time, the application will not be considered.

File Formats

To ensure consideration, the components of the application must be saved as .doc, .docx, .xls, .xlsx, .rtf or .pdf files. If submitted in any other format, the Applicant bears the risk that compatibility or other issues will prevent DOL from considering the application. DOL will attempt to open the document, but will not take any additional measures in the event of problems with opening submitted files.

Save all files with descriptive file names of 50 characters or less and be sure to only use standard characters in file names: A-Z, a-z, 0-9, and underscore (_). File names may not include special characters (e.g. &,-,*,%,/,#), periods (.), blank spaces or accent marks, and must be unique (i.e., no other annex may have the same file name). An underscore (example: my_Attached_File.pdf) may be used to separate a file name.

You must submit your application in one package because documents received separately will be tracked separately and will not be attached to the application for review.

Resources

We strongly advise Applicants to use the various tools and documents, including FAQs, which are available on the "Applicant Resources" page at http://www.grants.gov/web/grants/applicants/applicant-faqs.html.

To receive updated information about critical issues, new tips for users and other time sensitive updates as information is available, you may subscribe to "Grants.gov Updates" at http://www.grants.gov/web/grants/manage-subscriptions.html.

If you encounter a problem with Grants.gov and do not find an answer in any of the other resources, call 1-800-518-4726 or 606-545-5035 to speak to a Customer Support Representative or email support@grants.gov. The Contact Center is open 24 hours a day, seven days a week. It is closed on Federal holidays.

C. Intergovernmental Review

This funding opportunity is not subject to Executive Order 12372, "Intergovernmental Review of Federal Programs."

D. Funding Restrictions

All proposed project costs must be necessary and reasonable and in accordance with Federal guidelines. Determinations of allowable costs will be made in accordance with the Cost Principles, now found in the Office of Management and Budget's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance), codified at 2 CFR Part 200. Disallowed costs are those charges to an award that the grantor agency or its representative determines not to be allowed in accordance with the Cost Principles or other conditions contained in the award. Applicants, whether successful or not, will not be entitled to reimbursement of pre-award costs.

E. Indirect Costs

Applicants may request eligible indirect costs according to 2 CFR Part 200 and as explained in section IV.B.2.e and IV.B.2.f Outputs-Based Budget and Accompanying Budget Narrative.

F. Intellectual Property Rights

The Federal Government reserves a paid-up, nonexclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use for Federal purposes: i) the copyright in all products developed under the award, including a subrecipient; and ii) any rights of copyright to which the recipient, subrecipient or a contractor purchases ownership under an award (including, but not limited to, curricula, training models, technical assistance products, and any related materials). Such uses include, but are not limited to, the right to modify and distribute such products worldwide by any means, electronically or otherwise. The recipient may not use Federal funds to pay any royalty or license fee for use of a copyrighted work, or the cost of acquiring by purchase a copyright in a work, where the Department has a license or rights of free use in such work. If revenues are generated through selling products developed with award funds, including intellectual property, these revenues are program income. Program income is added to the award and must be expended for allowable activities. Additionally, the Federal government has the right to require intellectual property developed under a competitive Federal award process to be licensed under a Creative Commons Attribution license. This license allows subsequent users to copy, distribute, transmit and adapt the copyrighted Work and requires such users to attribute the Work in the manner specified by the recipient.

G. Other Submission Requirements

Withdrawal of Applications: Applications may be withdrawn by written notice to the Grant Officer at any time before an award is made.

V. Application Review Information

A. Criteria

We have instituted procedures for assessing the technical merit of applications to provide for an objective review of applications and to assist you in understanding the standards against which your application will be judged. The evaluation criteria are based on the information required in the application as described in Sections IV.B.1. (Technical Proposal and annexes) and IV.B.2. (Cost Proposal). Reviewers will award points based on the evaluation criteria described below:

| Statement of Need | IV.B.1.c.1. | 4 |
|--------------------------------|-------------|-----|
| Goals, Objectives and Expected | | |
| Outcomes | IV.B.1.c.2. | 5 |
| Targets | IV.B.1.c.3. | 5 |
| Project Design | IV.B.1.c.4. | 25 |
| CMEP Agreement and M&E | | |
| Capacity Statement | IV.B.1.c.5. | 5 |
| Results Framework | IV.B.1.c.6. | 5 |
| Work Plan | IV.B.1.c.7. | 3 |
| Organizational Capacity | | |
| International and US | | |
| Government Grant and/or | | |
| Contract Experience | IV.B.1.d.1. | 6 |
| Country Presence and Host | | |
| Government Support | IV.B.1.d.2. | 5 |
| Partners | IV.B.1.d.3. | 6 |
| Management Plan | IV.B.1.d.4. | 5 |
| Personnel | IV.B.1.d.5. | 11 |
| Outputs-Based Budget and | | |
| Accompanying Budget Narrative | IV.B.2.e. | 15 |
| | Total = | 100 |

B. Review and Selection Process

1. Merit Review and Selection Process

A technical merit review panel will carefully evaluate applications against the selection criteria to determine the merit of applications. These criteria are based on the policy goals, priorities, and emphases set forth in this FOA. Up to 100 points may be awarded to an Applicant, depending on the quality of the responses provided. The final scores (which may include the mathematical normalization of review panels where more than one review panel is used) will serve as the primary basis for selection of applications for funding. The panel results are advisory in nature and not binding on the Grant Officer. The Grant Officer reserves the right to make selections based solely on the final scores or to take into consideration other relevant factors when applicable. Such factors may include the geographic distribution of funds and/or other relevant factors. The Grant Officer may consider any information that comes to his/her attention.

The Applicant's signature on the SF-424, including electronic signature via E-Authentication on http://www.grants.gov, constitutes a binding offer by the Applicant. The government may elect to award funds with or without discussions with the Applicant.

2. Risk Review Process

Every application will be evaluated to determine the risks posed by the Applicant. Prior to making an award, DOL will review information available through any OMB-designated repository of governmentwide eligibility qualification or financial integrity information, such as Federal Awardee Performance and Integrity Information System (FAPIIS), D&B, and "Do Not Pay." Additionally, DOL will comply with the requirements of 2 CFR Part 180 [Governmentwide Debarment and Suspension (Nonprocurement)]. This risk evaluation may incorporate results of the evaluation of the Applicant's eligibility (application screening) or the quality of its application (merit review). If DOL determines that

an award will be made, special conditions that correspond to the degree of risk assessed may be applied to the award. Criteria to be evaluated include:

- (1) Financial stability;
- (2) Quality of management systems and ability to meet the management standards prescribed in the Uniform Guidance;
- (3) History of performance. The Applicant's record in managing awards, cooperative agreements, or procurement awards, if it is a prior recipient of such Federal awards, including timeliness of compliance with applicable reporting requirements, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards;
- (4) Reports and findings from audits performed under Subpart F Audit Requirements of the Uniform Guidance or the reports and findings of any other available audits and monitoring reports containing findings, issues of non-compliance or questioned costs;
- (5) The Applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on recipients.

VI. Award Administration Information

A. Award Notices

Applicants selected for award will be contacted directly by the Grant Officer or designee. Non-selected Applicants will be notified by mail or email and may request a written debriefing on the significant weaknesses of their application.

Selection of an organization as a recipient does not constitute approval of the application as submitted. Before an award is made, we may enter into negotiations about such items as program components, staffing and funding levels, and administrative systems in place to support award implementation. If the negotiations do not result in a mutually acceptable submission, the Grant Officer reserves the right to terminate the negotiations and decline to fund the application. We reserve the right to not fund any application related to this FOA.

B. Administrative and National Policy Requirements

1. General Requirements

Recipients and subrecipients under this FOA shall be subject to the terms outlined in this announcement, the award agreement, and the MPG. Recipients are also subject to applicable U.S. Federal laws (including appropriations laws) and regulations, Executive Orders, applicable OMB Circulars, and DOL policies. If, during project implementation, a recipient is found in violation of any of the foregoing, remedies may include modification of the terms of the award; disallowance and recovery of costs; termination of the award; and any other action permitted by law.

For the purposes of this announcement and awards, recipients will be the sole entity:

- to act as the primary point of contact with DOL to receive and respond to all inquiries, communications and orders under the project;
- with authority to withdraw or draw down funds through the Department of Health and Human Services-Payment Management System;
- responsible for submitting to DOL all deliverables, including all technical and financial reports related to the project;

- that may request a revision or amendment of the terms and conditions of award or the Project Document (see MPG for description of Project Document); and
- responsible for working with DOL to close out the project. Each recipient must comply with all applicable Federal regulations and is individually subject to audit.

2. Audits and Attestation Engagements

Recipients must comply with the audit requirements set forth in Subpart F – Audit Requirements of the Uniform Guidance (2 CFR Part 200) and must comply with the timeframes established in those regulations for the submission of their audits to the Federal Audit Clearinghouse. Recipients must notify their assigned Grant Officer's Representative of each audit conducted within the timeframe of the DOL-funded project at the time it is submitted to the Federal Audit Clearinghouse.

DOL has contracted with an independent external auditor to conduct project-specific attestation engagements at DOL's expense to supplement the coverage provided by the annual audits that recipients are required to arrange, which are referenced in the preceding paragraph. All recipients, including non-U.S.-based and private for-profit awardees, are subject to attestation engagements during the life of the award. Attestation engagements will be conducted in accordance with U.S. Government Auditing Standards, which include auditors' opinions on (1) compliance with DOL regulations and the provisions of the award and (2) the accuracy and reliability of the recipient's financial and performance reports.

3. Administrative Standards and Provisions

All recipients and subrecipients will be subject to all applicable Federal laws, regulations—including the OMB Uniform Guidance, and the terms and conditions of the award. The award(s) made under this FOA will be subject to the following administrative standards and provisions:

- a. Non-Profit Organizations, Educational Institutions, and State, Local and Indian Tribal Governments 2 CFR Part 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards)
- b. Profit Making Commercial Firms Federal Acquisition Regulation (FAR) 48 CFR Part 31 (Cost Principles), and 2 CFR Part 200 (Administrative Requirements).
- c. 29 CFR Part 93 (New Restrictions on Lobbying)
- d. 29 CFR Part 94 (Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)), 29 CFR Part 98 (Governmentwide Debarment and Suspension, and drug-free workplace requirements)
- e. 29 CFR Part 2, subpart D—Equal Treatment in Department of Labor Programs for Religious Organizations, Protection of Religious Liberty of Department of Labor Social Service Providers and Beneficiaries.
- f. 29 CFR Part 31—Nondiscrimination in Federally Assisted Programs of the Department of Labor—Effectuation of Title VI of the Civil Rights Act of 1964.
- g. 29 CFR Part 32—Nondiscrimination on the Basis of Handicap in Programs or Activities Receiving Federal Financial Assistance.
- h. 29 CFR Part 35— Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance from the Department of Labor.

i. 29 CFR Part 36—Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance.

j. 49 U.S.C. 40118 - Fly America Act

k. General Terms and Conditions of Award.

Recipients must adhere to all of the post-award requirements outlined in ILAB's MPG. The MPG provides general management procedures and guidance for recipients of ILAB awards in areas that may not be explicitly detailed in the announcement. See http://www.dol.gov/ilab/grants/SGAguidelines.htm

4. Other Legal Requirements:

a. Transparency Act Requirements

You must ensure that you have the necessary processes and systems in place to comply with the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. Law 109-282, as amended by section 6202 of Pub. Law 110-252) (Transparency Act), as follows:

- Except for those excepted from the Transparency Act under sub-paragraphs 1, 2, and 3 below, you must ensure that you have the necessary processes and systems in place to comply with the subaward and executive total compensation reporting requirements of the Transparency Act, should they receive funding.
- Upon award, you will receive detailed information on the reporting requirements of the Transparency Act, as described in 2 CFR Part 170, Appendix A, which can be found at the following website: http://edocket.access.gpo.gov/2010/pdf/2010-22705.pdf.

The following types of awards are not subject to the Federal Funding Accountability and Transparency Act:

- (1) Federal awards to individuals who apply for or receive Federal awards as natural persons (i.e., unrelated to any business or non-profit organization he or she may own or operate in his or her name);
- (2) Federal awards to entities that had a gross income, from all sources, of less than \$300,000 in the entities' previous tax year; and
- (3) Federal awards, if the required reporting would disclose classified information.

b. Safeguarding Data Including Personally Identifiable Information (PII)

Applicants submitting applications in response to this FOA must recognize that confidentiality of PII and other sensitive data is of paramount importance to the Department and must be observed except where disclosure is allowed by the prior written approval of the GO or by court order. By submitting an application, you are assuring that all data exchanges conducted through or during the course of performance of this award will be conducted in a manner consistent with applicable Federal law.

c. Record Retention

You must be prepared to follow Federal guidelines on record retention, which require you to maintain all records pertaining to award activities for a period of at least three years from the date of submission of the final expenditure report. See 2 CFR 200.333-.337 for more specific information,

including information about the start of the record retention period for awards that are renewed quarterly or annually, and when the records must be retained for more than three years.

d. Use of Contracts and Subawards

You must abide by the following definitions of contract, contractor, subaward, and subrecipient:

Contract: Contract means a legal instrument by which a non-Federal entity (defined as a state, local government, Indian tribe, institution of higher education (IHE), nonprofit organization, for-profit entity, foreign public entity, or a foreign organization that carries out a Federal award as a recipient or subrecipient) purchases property or services needed to carry out the project or program under a Federal award. The term as defined in 2 CFR 200.22 does not include a legal instrument, even if the non-Federal entity considers it a contract, when the substance of the transaction meets the definition of a Federal award or subaward (see definition of Subaward below).

Contractor: Contractor means an entity that receives a contract as defined above in Contract.

Subaward: Subaward means an award provided by a pass-through entity (defined as a non-Federal entity that provides a subaward to a subrecipient to carry out part of a Federal program) to a subrecipient for the subrecipient to carry out part of a Federal award received by the pass-through entity. It does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal program. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract.

Subrecipient: Subrecipient means a non-Federal entity that receives a subaward from a pass-through entity to carry out part of a Federal program; but does not include an individual that is a beneficiary of such program. A subrecipient may also be a recipient of other Federal awards directly from a Federal awarding agency.

You must follow the provisions at 2 CFR 200.330-.332 regarding subrecipient monitoring and management. Also see 2 CFR 200.308(c)(6) regarding prior approval requirements for subawards. When awarding subawards, you are required to comply with provisions on governmentwide suspension and debarment found at 2 CFR Part 180 and codified by DOL at 29 CFR Part 98.

e. Closeout of Award

Any entity that receives an award under this Announcement must close its award with DOL at the end of the period of performance. Indirect costs support for allocated charges to the award is validated at time of Closeout using a Federally approved NICRA or other applicable rate agreement.

5. Other Administrative Standards and Provisions

Except as specifically provided in this FOA, our acceptance of an application and an award of Federal funds to sponsor any programs(s) does not provide a waiver of any award requirements and/or procedures.

6. Special Program Requirements

a. Evaluation

ILAB may require that the program or project participate in an evaluation of overall performance of ILAB awards and requires the cooperation of the recipient as a condition of award.

b. Performance Goals

Please note that Applicants will be held to agreed upon outcomes and failure to meet those outcomes may result in technical assistance or other intervention by ILAB, and may also have a significant impact on decisions about future awards with ILAB.

C. Reporting

You must agree to meet DOL reporting requirements. You must agree to provide the reports and documents listed below:

1. Quarterly Financial Reports

A Quarterly Federal Financial Report (SF 425, FFR) is required until such time as all funds have been expended or the award period has expired. Quarterly reports are due 30 days after the end of each calendar year quarter. On the final FFR, recipients must include any subaward amounts so final indirect costs can be calculated, if applicable. Recipients must use DOL's Online Electronic Reporting System; information and instructions will be provided as part of the final award.

2. Semi-Annual Technical Progress Reports

You must submit a semi-annual technical progress report within 30 days after the end of the months of March and September each calendar year. The report must include information on award activities, performance goals, and milestones. The last technical progress report will serve as the award's Final Technical Progress Report. This report must provide both semi-annual and cumulative information on the award activities. It must summarize project activities, outcomes and other deliverables, and related results of the project. ILAB will provide formal guidance about the data and other information that is required to be collected and reported on either a regular basis or special request basis. ILAB retains the right to require technical progress reports at quarterly intervals.

3. Indirect Cost Rates

For organizations with an expired indirect cost rate or a rate not previously approved by the FCA (except those recipients approved for the de minimus rate): An indirect cost proposal must be submitted to the FCA within 90 days of award to establish a provisional NICRA. This provisional rate may be effective for a period up to two years until a final NICRA is established.

For all organizations with NICRAs: Indirect cost proposals must be submitted on an annual basis to the FCA to obtain federally approved NICRAs for the life of the award, unless the FCA instructs otherwise. These proposals are based on incurred costs and are due six months after the end of each fiscal year.

4. Closeout Reports

Within 90 days of completion of the project the recipient must provide the following project closeout reports:

- Final Technical Progress Report
- Final quarterly FFR

- Final cumulative FFR
- Recipient's Release Form
- Government Property Closeout Inventory Certification

Additional information may be required for some Closeout procedures. More information is available after award.

VII. Agency Contacts

For specific questions about this FOA, please contact Mr. Dorjan Chaney, Office of Grants Management. Applicants should e-mail all technical questions to chaney.dorjan@dol.gov and must specifically reference FOA-ILAB-15-08, include a contact name, email address and phone number. This Announcement is being made available at http://www.grants.gov.

VIII. Other Information

A. Transparency

DOL is committed to conducting a transparent award process and publicizing information about program outcomes. Posting applications on public websites is a means of promoting and sharing innovative ideas. For all applications in this competition, DOL will publish the Abstracts required by Section IV.B.1.a, and selected information from the SF-424 for all applications on the Department's public website or similar publicly accessible location. Additionally, DOL will publish a version of the Technical Proposal required by Section IV.B.1. for all successful applications, on the Department's website or a similar location. No other attachments to the application will be published. The Technical Proposals and Abstracts will not be published until after the awards are announced. In addition, information about award progress and results may also be made publicly available.

DOL recognizes that applications sometimes contain information that an Applicant may consider proprietary or business confidential information, or may contain PII. Proprietary or business confidential information is information that is not usually disclosed outside an organization and disclosing this information is likely to cause substantial competitive harm. PII is any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records, and any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.²³

Abstracts will be published in the form originally submitted, without any redactions. Applicants should not include any proprietary or confidential business information or PII in this summary. In the event that an Applicant submits proprietary or confidential business information or PII, DOL is not liable for the posting of this information contained in the Abstract. The submission of the application constitutes a <u>waiver</u> of the Applicant's objection to the posting of any proprietary or confidential business information contained in the Abstract. Additionally, the Applicant is responsible for obtaining all authorizations from relevant parties for publishing all PII contained within the Abstract. In the event the Abstract contains proprietary or confidential business information or PII, the Applicant is presumed to have obtained all necessary authorizations to provide this information and may be liable for any improper release of this information.

²³ OMB Memorandum 07-16 and 06-19. GAO Report 08-536, *Privacy: Alternatives Exist for Enhancing Protection of Personally Identifiable Information*, May 2008, http://www.gao.gov/new.items/d08536.pdf.

By submission of this application, the Applicant agrees to indemnify and hold harmless the United States, the U.S. Department of Labor, its officers, employees, and agents against any liability or for any loss or damages arising from this application. By such submission of this application, the Applicant further acknowledges having the authority to execute this release of liability.

In order to ensure that proprietary or confidential business information or PII is properly protected from disclosure when DOL posts the successful Technical Proposals, Applicants whose Technical Proposals will be posted will be asked to submit a second redacted version of their Technical Proposal, with any proprietary, confidential commercial/business information, and PII redacted. All non-public information about the Applicant's and partner staff (if applicable) should be removed as well.

The Department will contact the Applicants whose Technical Proposals will be published by letter or email, and provide further directions about how and when to submit the redacted version of the Technical Proposals.

Submission of a redacted version of the Technical Proposal will constitute permission by the Applicant for DOL to make the redacted version publicly available. DOL will also assume that by submitting the redacted version of the Technical Proposal, the Applicant has obtained the agreement to the Applicant's decision about what material to redact of all persons and entities whose proprietary, confidential business information, or PII is contained in the Technical Proposal. If an Applicant fails to provide a redacted version of the Technical Proposal within 45 days of DOL's request, DOL will publish the original Technical Proposal in full, after redacting only PII. (Note that the original, unredacted version of the Technical Proposal will remain part of the complete application package, including an Applicant's proprietary and confidential business information and any PII.)

Applicants are encouraged to maximize the application information that will be publicly disclosed, and to exercise restraint and redact only information that clearly is proprietary, confidential commercial/business information, or PII. The redaction of entire pages or sections of the Technical Proposal is not appropriate, and will not be allowed, unless the entire portion merits such protection. Should a dispute arise about whether redactions are appropriate, DOL will follow the procedures outlined in the Freedom of Information Act (FOIA) regulations (29 CFR Part 70).

Redacted information in applications will be protected by DOL from public disclosure in accordance with Federal law, including the Trade Secrets Act (18 U.S.C. § 1905), FOIA, and the Privacy Act (5 U.S.C. § 552a), as appropriate. If DOL receives a FOIA request for your application, the procedures in DOL's FOIA regulations for responding to requests for commercial/business information submitted to the government will be followed, as well as all FOIA exemptions and procedures. See 29 CFR § 70.26. Consequently, it is possible that application of FOIA rules may result in release of information in response to a FOIA request that an Applicant redacted in its "redacted copy."

B. OMB Information Collection

Paperwork Reduction Act:

The agency has determined this Funding Opportunity Accouchement is not subject to Office of Management and Budget approval under the Paperwork Reduction Act, as fewer than ten (10) responses are anticipated. Send comments regarding this determination to the U.S. Department of Labor, Office of the Chief Information Officer, Attention: Departmental Clearance Officer, 200 Constitution Avenue, N.W., Room N-1301, Washington, DC 20210 or email DOL_PRA_PUBLIC@dol.gov. Note: Please do not return the completed grant application to this address. Information collected through this Funding Opportunity

Announcement will be used by the Department of Labor to ensure that Federal funds are provided to the Applicants best suited to perform the functions of these awards. Submission of this information is required in order for the Applicant to be considered for award.

IX. Appendices

APPENDIX A: Acronyms

CFR Code of Federal Regulations

CMEP Comprehensive Monitoring and Evaluation Plan

DBMS Direct Beneficiary Monitoring System

DOL U.S. Department of Labor

DUNS Dun and Bradstreet Universal Numbering System

ETA Employment and Training Administration

FCA U.S. Federal Cognizant Agency

FFR Federal Financial Report

FOA Funding Opportunity Announcement

FOIA Freedom of Information Act

GO Grant Officer

GOR Grant Officer's Representative

ILAB Bureau of International Labor Affairs
ILO International Labor Organization

M&F Monitoring and Evaluation

M&E Monitoring and Evaluation

MPG Management Procedures and Guidelines

NGOs Non-governmental Organizations

NICRA Negotiated Indirect Cost Rate Agreement

OCFT Office of Child Labor, Forced Labor, and Human Trafficking

OMB Office of Management and Budget PIO Public International Organization SAM System for Award Management

SF Standard Form

TDA Trade and Development Act
TPR Technical Progress Report

TVPRA Trafficking Victims Protection and Reauthorization Act

USAID U.S. Agency for International Development

VAT Value Added Tax

APPENDIX B: Definitions

- 1. "Acceptable work," while not specifically defined in the ILO Conventions, is work that is performed by children who are of legal working age, in accordance with national legislation and international standards, namely ILO Conventions 182 and 138; non-hazardous; non-exploitative; and does not prevent a child from receiving the full benefit of an education. For example, "acceptable work" would generally include light work that is compatible with national minimum age legislation and education laws.
- 2. A "child" or "children" are individuals under the age of 18 years.
- 3. "Child labor" includes those children (minors under age 18) working in the worst forms of child labor as outlined in ILO Convention 182 and children engaged in work that is exploitative and/or interferes with their ability to participate and complete required years of schooling, in line with ILO Convention 138. ILO Convention 182 defines the WFCL as:
 - (a) all forms of slavery or practices similar to slavery, such as the sale and trafficking of children, debt bondage and serfdom and forced or compulsory labor, including forced or compulsory recruitment of children for use in armed conflict;
 - (b) the use, procuring or offering of a child for prostitution, the production of pornography or for pornographic performances;
 - (c) the use, procuring or offering of a child for illicit activities, in particular for the production and trafficking of drugs as defined in the relevant international treaties; and
 - (d) work which, by its nature or the circumstances in which it is carried out, is likely to harm the health, safety or morals of children.

Recipients are encouraged to consult Recommendation 190 accompanying ILO Convention 182 for additional guidance on identifying hazardous forms of work. According to ILO Convention 182, hazardous work "shall be determined by national laws or regulations or by the competent authority, after consultation with the organizations of employers and workers concerned, taking into consideration relevant international standards..." As this suggests, forms of work identified as "hazardous" for children [Article 3(d)] may vary from country to country. ILO Recommendation No. 190, which accompanies ILO Convention 182, gives additional guidance on identifying "hazardous work." ILO Recommendation No. 190 states in Section II, Paragraph 3 that, "[i]n determining the types of work referred to under Article 3(d) of the Convention [ILO Convention 182], and in identifying where they exist, consideration should be given, inter alia to:

- (a) work which exposes children to physical, psychological, or sexual abuse;
- (b) work underground, under water, at dangerous heights or in confined spaces;
- (c) work with dangerous machinery, equipment and tools, or which involves the manual handling or transport of heavy loads;
- (d) work in an unhealthy environment which may, for example, expose children to hazardous substances, agents or processes, or to temperatures, noise levels, or vibrations damaging to their health;
- (e) work under particularly difficult conditions such as work for long hours or during the night or work where the child is unreasonably confined to the premises of the employer.

ILO Recommendation No. 190 goes on to state in Paragraph 4 that, "[f]or the types of work referred to under Article 3(d) of the Convention and Paragraph 3 above, national laws or regulations or the competent authority could, after consultation with the workers' and employers' organizations concerned, authorize employment or work as from the age of 16 on condition that the health, safety and morals of the children concerned are fully protected, and that the children

have received adequate specific instruction or vocational training in the relevant branch of activity."

- 4. "Children at high-risk of entering child labor" refers to children who experience a set of conditions or circumstances (family environment or situation, proximity to economic activities prone to employ children, etc.) under which the child lives or to which the child is exposed that make it more likely that the child will be employed in child labor (e.g. siblings of working children). The definition of high-risk should be defined by the project and used in the baseline survey.
- 5. "Cooperative agreement" refers to an award instrument where substantial involvement is anticipated between a Federal awarding agency and a non-Federal recipient entity. during the performance of project activities. The level of monitoring and accountability under a cooperative agreement is less than what is required under a contract, but more than what is required under a regular grant.
- 6. "Cost sharing" or "matching" means the portion of project costs not paid by Federal funds.
- 7. "Direct beneficiaries" are children and households that have been provided with educational and livelihood services and leadership training.
- 8. "Direct beneficiary monitoring system" DBMS monitors provision of educational and livelihood services provided to direct beneficiaries as well as monitoring of children's education and work status.
- 9. "Direct educational services" may involve either of the following:

The provision of goods and/or services (if lack thereof is a barrier to education) that meets direct beneficiaries' specific needs and results in their enrollment in at least one of the four categories of educational activities listed below. Examples of goods and/or services that may meet the specific gaps/educational needs of targeted children include tutoring, school meals, uniforms, school supplies and materials, books, tuition and transportation vouchers, or other types of non-monetary incentives.

The four categories of educational activities that qualify are:

- Non-formal or basic literacy education- This type of educational activity may include transitional, leveling, or literacy classes so that a child may either be mainstreamed into formal education and/or can participate in vocational training activities:
- Vocational, pre-vocational, or skills training- This type of training is designed to develop a particular, marketable skill (i.e., mechanics, sewing);
- Formal education This is defined as the formal school system within the select country; or
- Mainstreaming This type of educational activity involves transitioning children from non-formal education into the formal education system. Generally, mainstreaming involves the provision of goods and/or services that may assist in placement testing and enable a child to attend and stay in school.

OR

The direct provision of at least one of the following two educational activities by the project to its direct beneficiaries:

- Non-formal or basic literacy education; or
- Vocational, pre-vocational, or skills training.

Recipients must be able to match a particular service or educational or training opportunity to an individual child. Therefore, project interventions such as infrastructure improvements to schools and

other learning environments, teacher training, construction of latrines, inclusion of child labor modules in teacher curriculum, or the provision of classroom chalkboards are not considered "direct educational services" as defined above (see definition of "other project interventions").

- 10. "Direct services" are interventions that include educational and livelihood services and leadership training provided by the project.
- 11. "Educational services" refers to formal or non-formal education:
 - <u>Formal education services</u> refer to education provided and/or recognized by the government. Formal education may include government schools, private schools, religious schools, etc. The support of formal education may involve the provision of goods and/or services including direct costs such as school fees and teaching and learning materials and indirect costs such as school uniforms, transportation costs, etc. These goods and/or services are intended to ensure that the child will attend and stay in school.
 - Non-formal education services refer to education provided by any organization or body outside of
 the formal school system. This education may include literacy, mainstreaming education, accelerated
 learning, community-based education, bridge courses, remedial education, life skills, etc. Nonformal education services may lead to mainstreaming into formal education or equivalent school
 certificates.
 - <u>Vocational education services</u> refer to education and/or training related to a specific vocation, trade or occupation. For the purposes of a project(s) funded under this announcement, a child under the age of 18 who receives vocational education services will be counted as having received an educational service. Vocational education services may also be provided to individuals 18 years of age and older in a household, including older siblings of working or at-risk children under the age of 18, if the provision of such services is intended to reduce the likelihood of child labor for a child in that household as a result of improvements to the household's livelihood. In such cases, the recipient will report this vocational service to ILAB as a livelihood service provided by the project.
- 12. "**Forced labor**" refers to all work or service that is exacted from any individual under menace of any penalty for nonperformance of the work or service, and for which the work or service is not offered voluntarily; or the work or service is performed as a result of coercion, debt bondage, or involuntary servitude. This definition is derived from ILO Convention 29 (Forced Labor).
- 13. "Hazardous work" The worst forms of child labor referred to in Article 3(d) of Convention 182 are known as "hazardous work." According to ILO Convention 182, hazardous work "shall be determined by national laws or regulations or by the competent authority, after consultation with the organizations of employers and workers concerned, taking into consideration relevant international standards…" As this suggests, forms of work identified as "hazardous" for children [Article 3(d)] may vary from country to country. ILO Recommendation No. 190, which accompanies ILO Convention 182, gives additional guidance on identifying "hazardous work." ILO Recommendation No. 190 states in Section II, Paragraph 3 that, "[i]n determining the types of work referred to under Article 3(d) of the Convention [ILO Convention 182], and in identifying where they exist, consideration should be given, inter alia to:
 - a. work which exposes children to physical, psychological, or sexual abuse;
 - b. work underground, under water, at dangerous heights or in confined spaces;
 - c. work with dangerous machinery, equipment and tools, or which involves the manual handling or transport of heavy loads;

- d. work in an unhealthy environment which may, for example, expose children to hazardous substances, agents or processes, or to temperatures, noise levels, or vibrations damaging to their health;
- e. work under particularly difficult conditions such as work for long hours or during the night or work where the child is unreasonably confined to the premises of the employer."

ILO Recommendation No. 190 goes on to state in Paragraph 4 that, "[f]or the types of work referred to under Article 3(d) of the Convention and Paragraph 3 above, national laws or regulations or the competent authority could, after consultation with the workers' and employers' organizations concerned, authorize employment or work as from the age of 16 on condition that the health, safety and morals of the children concerned are fully protected, and that the children have received adequate specific instruction or vocational training in the relevant branch of activity."

- 14. "Household" consists of all persons—related family members and all unrelated persons—who occupy a housing unit and have no other usual address. For the purposes of this project a household must include at least one eligible child who is "at high-risk of entering child labor" or "engaged in child labor."
- 15. "Indirect beneficiaries" are individuals who may benefit from "other direct services" and/or "other project interventions" provided by the project but who do not receive a "direct educational service." Such individuals would not qualify as direct beneficiaries.
- 16. "In-Kind contributions" means goods or services committed to the project by the recipient and/or a non-Federal third party. A recipient will be responsible for obtaining such goods or services from the third party and applying them to the work of the award. Failure to do so may result in ILAB's disallowance of costs in the amount of the committed in-kind contributions.
- 17. "Integrated Area-Based Approach" is defined for the purposes of this FOA as an approach that targets all forms of child labor and forced child labor within a defined geographic area and incorporates project activities to reduce child labor and forced child labor in that area into a wider development framework that includes national strategies to address the promotion of education, the advancement of decent work, and poverty reduction. This approach aims to increase the effectiveness and sustainability of activities by creating a setting in which children do not move from one hazardous occupation to another, vulnerable households are empowered to address their livelihood situation, and communities take ownership, all of which is needed to support long-term change.
- 18. "**Key stakeholders**" can include, but are not limited to: parents, educators, community leaders, national policy makers, and key opinion leaders.
- 19. "Livelihood" is defined as a means of living, and the capabilities, assets (including both material and social resources, such as, food, potable water, health facilities, educational opportunities, housing, and time for participation in the community), and activities required for it. A livelihood encompasses income, as well as social institutions, gender relations, and property rights required to support and sustain a certain standard of living. It includes access to and benefits derived from social and public services provided by the state, such as education, health services, and other infrastructure. Sustainable livelihood programs seek to create long-lasting solutions to poverty by empowering their target population and addressing their overall well-being. ILAB child labor elimination projects focus on ensuring that households can cope with and recover from the stresses and shocks and maintain or enhance present and future capabilities and assets in a way that helps them overcome the need to rely on the labor of their children to meet basic needs.

- 20. "Livelihood services" may include, but are not limited to, the provision or linkage to education and training, employment services, economic strengthening services, improved access to savings and credit, and social capital services. Definitions of livelihood services include, but are not limited to, the following categories:
 - <u>Livelihood education and training services</u> aim to provide adult participants with the basic skills and knowledge necessary to benefit from social services, financial services, and higher education. Education and training services may include the provision or linkage to life skills, leadership training, financial education, and literacy and numeracy programs. Only adults²⁴ may be counted in this category as receiving education and training services.
 - <u>Improved access to savings and credit</u> aims to mitigate economic shocks by leveling out the income of participants over time. These services may include village savings and loan programs, micro-insurance, micro-savings, (un)conditional cash transfer programs, health services, food programs, housing, and initiatives that aim to diversify the income sources of participants. Adults and children may receive these services.
 - <u>Social capital services</u> aim to expand a participant's connection within and between social networks. Social capital services may include the provision or linkage to support groups and labor sharing arrangements. Adults and children may receive social capital services.
 - <u>Employment services</u> aim to increase employment, job retention, earnings, and occupational skills of participants. Employment services may include the provision or linkage to employment assistance programs, vocational and business training, business start-up packages, occupational safety and health training, micro-franchise programs, job placement, apprenticeships and public works programs. Adults and children of the legal working age may receive employment services.
 - Economic strengthening services aim to increase the economic well-being of participants. Economic strengthening services may include the provision or linkage to micro-credit, productivity transfers, and cooperatives. Adults and children of the legal working age may receive economic strengthening services.
 - **<u>Productivity transfers</u>** are inputs aimed at improving the productivity and/or efficiency of processes and may include, for example, training, seeds, fertilizers, fuel, and labor-saving technologies.
 - <u>Cooperatives</u> are groups owned and operated by individuals, organizations, or businesses for their mutual benefit. For example, agricultural cooperatives or farmers' cooperatives may provide services, such as training, to individual farming members; pool production resources (land, machinery) so that members can farm together; provide members with inputs for agricultural production, such as seeds, fertilizers, and machinery; and engage in the transformation, distribution, and marketing of farm products.
- 21. "Monitoring and evaluation" M&E consists of two basic components —performance monitoring and evaluation— each of which serve distinct purposes. Performance monitoring, the monitoring of changes in performance indicators, reveals whether desired results are occurring and whether implementation is on track. In general, the results measured are the direct and near-term consequences of project activities. Evaluation is the systematic collection and analysis of information about the characteristics and

²⁴ A legal <u>adult</u> is a person who has attained the age of 18.

- outcomes of programs and projects as a basis for judgments, to improve effectiveness, and/or to inform decisions about current and future programming.
- 22. "Occupational safety and health" encompasses issues related to safe and healthy working environments and efforts to prevent workers from occupational injuries, diseases, and deaths.
- 23. "Other direct services" are services (1) considered essential for ensuring reduction of children in child labor and (2) provided directly to the project's direct beneficiaries. Some examples of "other direct services" would include extracurricular activities during school breaks and psychosocial counseling or medical care (e.g., for children withdrawn from commercial sexual exploitation, child soldiering). Another example would be providing direct beneficiaries who meet minimum age requirements for employment (particularly children 14 to 17 years) with occupational safety and/or health interventions that promote safe, acceptable work (e.g., protective masks, goggles, gloves) or job placement services to facilitate children's transition from a vocational or skills training program into acceptable work. If the project provides children with one or more "other direct services" but does not provide them with a "direct educational service," then the project cannot count these children as "direct beneficiaries." However, such children may be considered "indirect beneficiaries."
- 24. "Public International Organization (PIO)" is defined by the International Organizations Immunities Act, 22 U.S.C. § 288, et seq. PIOs are eligible to apply for award. For a complete list of PIOs, please see http://transition.usaid.gov/policy/ads/300/308maa.pdf.
- 25. "Soft Skills" are personal attributes that enable an individual to interact effectively with others. Such skills may include communication, negotiation, leadership, teambuilding, conflict management, and emotional intelligence.
- 26. "Social protection programs" include government interventions that seek to mitigate the impact of economic shocks, promote equity, and reduce poverty by providing social assistance to vulnerable populations. These can include cash transfers, microloans, health insurance, scholarships, savings, vocational training, and temporary jobs. Some DOL-funded projects have worked with governments to include project beneficiaries in social protection programs, provide project services to social protection beneficiaries, or conduct joint initiatives to combat child labor within the social-protection programs' framework.
- 27. "Subrecipient" means a non-Federal entity that receives a subaward from a pass-through entity to carry out part of a Federal program; but does not include an individual that is a beneficiary of such program. A subrecipient may also be a recipient of other Federal awards directly from a Federal awarding agency.
- 28. "Youth" are individuals between the ages of 14 and 17 for the purposes of this announcement.
- 29. "Youth development" is defined as a process which prepares young people to meet the challenges of adolescence and adulthood through a coordinated, progressive series of activities and experiences which help them to become socially, morally, emotionally, physically, and cognitively competent. Positive youth development addresses the broader developmental needs of youth, in contrast to deficit-based models which focus solely on youth problems.
- 30. **"Youth employment"** seeks to provide employment opportunities for youth of legal working age who currently lack decent work and face underemployment, temporary and involuntary work with few benefits, and limited opportunities for advancement. ILAB projects recognize the value of safe work for youth and their families and may support efforts to (1) promote youth employment opportunities that

ensure youth can access educational, developmental, vocational, economic, and social opportunities, and (2) protect working children from hazards in the workplace.

- 31. "Youth Leadership and Engagement" includes the skills and knowledge gained by youth to lead and participate in their communities.
- 32. "Working child" is an individual under 18 years of age who engages in paid or unpaid work, whether in the formal or informal sector, for at least one hour during a given reference period. This work includes the production of goods for one's own use, but does not include household chores carried out in a child's own home.

APPENDIX C: Sample Past Performance Table

| Name of Applicant/ Subrecipient/ | Agency/ Donor/ Organization | Agency/ Donor/ Contact Informatio n (Name, telephone, fax, e-mail) | Name of the Project and Instrument Number | Funding Amount (in \$) | Country of Implementation and Period of Performance | Brief Summary of Work Performed and Accomplishments |
|--|-----------------------------------|---|--|------------------------------|--|--|
| | | | | | | |
| | | | | | | |

APPENDIX D: Requirements for Application Submission

| Required Documents | FOA Reference | Applicant | Subrecipient |
|--|---|-----------|---|
| | | | (providing services related to project interventions) |
| Technical Proposal | Section IV.B.1. | V | |
| Cost Proposal | Section IV.B.2. | V | |
| Past Performance Table | Section IV.B.1.d.1. Appendix C | V | V |
| Audit Documentation | Section IV.B.1.d.6. | √ | √ |
| Documentation of Host Country Presence and Host Government Support | Section IV.B.1.d.2. | V | |
| Key Personnel Signed Letters of Commitment | Section IV.B.1.d.5. | √ | √ |
| Outputs-Based Budget and budget narrative | Section IV.B.2.e. | √ | √ |
| SAM Registration | Section IV.B.2.a. | √ | |
| SF-424 | Section IV.B.2.c. | √ | |
| SF-424A | Section IV.B.2.d. | √ | |
| Indirect Cost Supporting Documentation | Section IV.B.2.f. Grants.gov and ILAB Web site | V | |

APPENDIX E: ILAB/OCFT Common Indicators

ILAB has developed the following common indicators (to measure service delivery and project-level outcomes), which may be relevant to this project:

Indicators Reported by ILAB under the Government Performance and Results Act:

- Number of direct beneficiary children provided *education* or vocational training services. (ILAB will discuss and agree upon data disaggregation requirements with the project during the CMEP process.)
- Number of households provided *livelihood* services. (ILAB will discuss and agree upon data disaggregation requirements with the project during the CMEP process.)
- Evidence of increased *country capacity* to address child labor and forced labor.

Any of the following achievements, if the project played a substantive role in accomplishing the achievement(s), would be considered evidence of increased country capacity to address child labor and forced labor:

- 1. The adaptation of the legal framework to meet international labor standards.
- 2. Formulation and adoption of specific policies, plans, or programs to combat child labor or forced labor.
- 3. The inclusion of child labor or forced labor concerns in relevant development, education, antipoverty, and other social policies and programs.
- 4. Establishment of a child labor monitoring system (CLMS) or forced labor monitoring system.
- 5. Institutionalization of child labor and forced labor research (including evaluation and data collection).
- 6. Institutionalization of training on child labor or forced labor issues within government agencies.

Other Common Indicators to Measure Project Outcomes:

- % of livelihood beneficiary households with at least one child engaged in child labor.
- % of livelihood beneficiary households with at least one child engaged in hazardous labor (HCL).
- % of livelihood beneficiary HHs with at least one child engaged in other worst forms of child labor (if applicable).
- % of livelihood beneficiary households with all children of compulsory school age attending school regularly.
- % of beneficiary children engaged in child labor.
- % of beneficiary children engaged in HCL.
- % of beneficiary children engaged in other worst forms of child labor (if applicable).

Signed 07/17/2015 in Washington, D.C. by:

Elizabeth Whittington

Grant Officer, Employment and Training Administration